IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	Anthony L. Fontaine, *et al.*	**Examiner:**	Chrystina E. Zelaskiewicz
Application No.:	10/033,716	**Group Art Unit:**	3621
Filing Date:	December 27, 2001	**Confirmation No.**	8636
		Docket No.	83336.0559
Title: REMOTE ACCESS VERIFICATION ENVIRONMENT SYSTEM AND METHOD		**Customer No.**	66880

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

DECLARATION OF FRANCES A. SCARDINO UNDER 37 C.F.R. § 1.68

I, FRANCES A. SCARDINO, hereby declare under penalty of perjury as follows:

1. I am the Assistant at the Century City office of Steptoe & Johnson LLP. I have been an employee of Steptoe & Johnson for approximately two and a half (2 1/2) years.

2. At the direction of Andrew Chen and by way of certified mail, I forwarded the Specification as filed, Assignment and Declaration to inventors, Hyon C. Im (hereinafter "Im") and Wesley A. Park (hereinafter "Park") dated December 3, 2009 requesting their signature on the Declaration. **See Exhibit A.**

3. A complete Declaration including all averments and identifying information was sent to Im and Park.

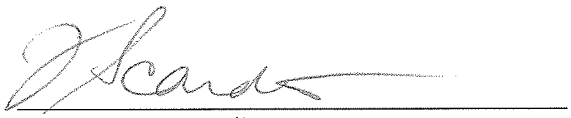
4. On December 9, 2009, I received a Return Postcard with Im's signature indicating that he had received the documentation forwarded on December 3, 2009. **See Exhibit B.**

5. On December 15, 2009, I received a Return Postcard with Park's signature indicating that he had received the documentation forwarded on December 3, 2009. **See Exhibit C.**

6. As of the date of this Declaration, despite my diligent efforts, I have not received the executed Declaration from either Im or Park.

All statements made herein of my own knowledge are true and all statements made on information and belief are believed to be true. These statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and willful, false statements may jeopardize the validity of the application or any patent issuing thereon.

Executed on January 14, 2010, at Los Angeles, California.



Frances A. Scardino
STEPTOE & JOHNSON LLP
2121 Avenue of the Stars
Suite 2800
Los Angeles, CA 90067
Tel 310.734.3200
Fax 310.734.3300

EXHIBIT A

STEPTOE & JOHNSON LLP
ATTORNEYS AT LAW

Andrew B. Chen
310.734.3246
achen@steptoe.com

2121 Avenue of the Stars
Suite 2800
Los Angeles, CA 90067
Tel 310.734.3200
Fax 310.734.3300
steptoe.com

December 3, 2009

Via Certified Mail
Confirmation of Receipt Requested

Mr. Hyon C. Im
5019 Hillswick Drive
Sugar Land, Texas 77479-3930

Re: U.S. Patent Application No. 10/033,716

Filed:	December 27, 2001
Title:	REMOTE ACCESS VERIFICATION ENVIRONMENT SYSTEM AND METHOD
Based on:	U.S. Provisional Patent Application No. 60/145,068, filed 07/09/1999 U.S. Utility Patent Application No. 09/612,476, filed 07/07/2000; and U.S. Utility Patent Application No. 09/854,438, dated May 11, 2001
Inventors:	Anthony Fontaine, Hyon (John) Im, Wesley A. Park
Owner:	Bally Gaming, Inc.
Our Ref:	83336.0559

Dear Mr. Im:

Pursuant to our letters of May 26, 2009 and June 23, 2009, we are currently seeking to have you execute a declaration for the U.S. Patent Office, confirming that you are indeed an inventor of the above-referenced applications.

On December 27, 2001, we filed the above-identified patent application, which was based on the three referenced prior applications listed above. At the time the application was filed, we forwarded the enclosed patent application and declaration for your execution to your former employer, Vasco Data Security International in Oak Park Terrace, IL.

We were informed by Vasco that you were no longer employed with them, and, as such, we do not believe you ever received a copy of the application, or were able to execute the enclosed Declaration, indicating that you were one of the inventor listed on these applications.

Please find enclosed a copy of the patent application that was filed on December 27, 2001 and a Declaration for your execution and return, which, when received, will be filed with the U.S. Patent and Trademark Office.

We have also enclosed a revised assignment of the patent application to Bally Gaming, Inc. for your execution and return. The new assignment covers any reasonable compensation for your time and out-of-pocket expenses (subject to prior written approval by Bally) that you may incur in connection with being a witness or providing testimony in any court or legal proceeding involving the inventions disclosed in the above-reference applications.

We appreciate your assistance. If you should have any questions, please do not hesitate to contact me. As we stated above, we are attorneys for Bally Gaming, Inc. and do not represent you or your former employer, Vasco. As such, it is recommended that you to contact independent counsel should have any questions regarding this matter.

Sincerely,

Andrew B. Chen

ABC:fas
Enclosures

APPLICATION

of

ANTHONY FONTAINE

HYON (JOHN) IM

AND

WESLEY PARK

for

UNITED STATES LETTERS PATENT

on

REMOTE ACCESS VERIFICATION ENVIRONMENT SYSTEM AND METHOD

Docket No. 10407/559
Sheets of Drawings: 7

Attorneys
BROWN RAYSMAN MILLSTEIN FELDER & STEINER, LLP
1880 Century Park East, Suite 711
Los Angeles, CA 90067-1698

REMOTE ACCESS VERIFICATION ENVIRONMENT SYSTEM AND METHOD

RELATED APPLICATION

This application is claiming the benefit of patent application serial no. 09/854,438 filed on May 11, 2001, which is a continuation of patent application serial no. 09/612,476 filed on July 7, 2000, and provisional application serial no. 60/145,068 filed on July 9, 1999.

BACKGROUND OF THE INVENTION

This invention relates generally to improvements in remote access verification systems and, more particularly, to a remote access verification environment system and method for enabling remote access to an application server, wherein a user's location and/or jurisdiction needs to be verified for enabling processing of a transaction requiring such user location verification.

Description of the Related Art

The present invention is directed to verification of geographic location for enabling remote access to an application server, and is particularly applicable to transactions requiring user location verification, such as gambling transactions, wherein processing gambling information for the purposes of wagering is restricted to venues where it is allowable by law.

Gambling transactions, in some form, are currently legal in 48 states in the United States and in many foreign countries. In order to insure consumer protection, gambling is highly regulated by the jurisdiction in which the activity occurs. Each jurisdiction sets its own standards for regulation including, for example, what games may be played, what the payouts must be, and consumers' recourse for the redress of grievances. Typically, gambling regulations will differ from jurisdiction to jurisdiction depending upon the social perspective on gambling in that jurisdiction. In the past, the enforcement of these regulations has been facilitated due to the nature of the activity, in that physical presence at the activity confirmed that the activity was performed within the authorized jurisdictional boundaries.

The concept of telephone wagering, e.g., consisting of betting from remote locations removed the requirement of physical presence at the gambling location and, thus, enabled a wagerer to place a bet from a remote location through a telephone without actually being physically present in the jurisdiction. In this regard, Federal legislation known as the Wire Act has now made it illegal to use a wire for the interstate transmission of wagering information.

However, with the advent of the Internet as a medium for the placing of bets or wagers, the applicability of the Wire Act to the Internet has been at issue. Proponents of the Internet gaming argued that the Internet was not a wire medium and therefore the law was not applicable to their activity. Furthermore, since most of the Internet gambling sites are currently located offshore and not within United States jurisdiction, proponents have argued that if the activity is legal in their jurisdiction, they are not in violation of United States laws.

Legislation has been introduced to specifically cover use of the Internet for wagering purposes, including the Internet Gambling Prohibition Act. Although

this act is described as a prohibition against the use of the Internet for gambling purposes, there are specific exemptions for industries using specific technology. Under this act, industries such as horse racing and state lotteries may employ a technology defined as Closed-Loop Subscriber-Based Service for the purpose of wagering, provided that the service can verify that the person is physically located in a state where the activity is legal.

Therefore, those concerned with the development and use of improved remote access verification systems, methods, and the like have long recognized the need for improved systems and methods for determining and verifying a user's geographic location for enabling access to the processing of transactions requiring such user location verification.

SUMMARY OF THE INVENTION

Briefly, and in general terms, the present invention provides a new and improved system and method for authenticating the geographic location of a user, identifying the user, and permitting the user to access an application server for transaction processing in an efficient, effective, and secure manner.

By way of example, and not by way of limitation, the present invention provides a remote access verification environment system and method for enabling verification of remote access to an application server upon authentication of a location from which a user has sought access. The system is adapted to authenticate the user location to determine whether the user's location is an authorized location for enabling access to the application server.

More particularly, the present invention may include a client for enabling the user to request remote access to the application server, an access server for

receiving and processing a request for access to the application server from the client, adapted to be located remote from the user's location, an authenticating server for authenticating the location of the user responsive to receipt of the processed request from the access server, adapted to be connected to the access server, and a network for interconnecting the client, the access server, the authenticating server, and the application server. The client may include an identifier associated with the user's location, such as a cookie, or a dynamic cookie, and the authenticating server may be adapted to authenticate the client location identifier. The client may further include a dialer located at the user's location, with a number associated with the dialer, and the authenticating server may comprise a Remote Access Dial-In User Service (RADIUS) server. The RADIUS server can include a system for authenticating the dialer number, which may be accomplished via Automatic Number Identification (ANI) system, and a system for identifying the first number from which the user has dialed, which may be accomplished via a Dialed Number Identification Services (DNIS) system. The authenticating server may also include a database of authorized locations, for enabling verification of the location of the user as an authorized user location. The network may comprise an intranet, it may include a local area network, or alternatively, it may comprise the Internet.

The system, in accordance with the present invention, may also include a system for determining the identity of the user, which may comprise a challenge and response system, wherein the authenticating server may issue a security challenge to the client, and the client may interrogate the security challenge, generate a response, and send the response to the authenticating server. The present invention may further include a system for insuring the user's presence at the location from which the request has been sent, which may consist of a card, e.g., a Smart Card, for identifying the user, and a reader for reading the card and forwarding the information to the authenticating server. The user may access the client at a location remote from the application server, for example from the user's

home, office, or kiosk. The client may further include a communications port, a facility for the loading of software such as a disk drive, compact disk drive, or a communications port, a storage area for a geographic identifier, software that controls the communications port, a processing unit to interpret the communications, and output device such as a video display or television for communications output, and an input device such as a keyboard, mouse, touch screen, or voice recognition for communications input.

In accordance with the present invention, the user may establish contact with the application server directly through a proprietary or private network, or indirectly through the Internet or a virtual private network, through enabled proxy and Web servers. Once a link between the user's client and an authenticating server has been effected, the server may query the client processing unit for information regarding the controller for the communications port. The processing unit may relay the geographic identification information contained in the communications controller to the authenticating server. During this process, the user may receive messages from the authenticating server that will be displayed on the output device. The user may be prompted to supply additional user information that may be entered through the input device. The user's geographic location identifier, as well as other pertinent information may be stored in a user account database. Successful logon to the authenticating server may activate the user's account, and may become available for tracking by the authentication-enabled application. Upon disconnection of the user, the account may be deactivated, whereupon all session specific information may be removed from the user's record. In addition, unsuccessful logon attempts may be reported, logged, and the user disconnected, thereby refusing access to the application server.

Therefore, an advantage of the present invention is that it includes a system for securely and effectively verifying the location of a user requesting

access to an application server, for enabling the secure and effective processing of a transaction requiring user location verification.

A further advantage is that the present invention provides efficient and effective systems for insuring the user's presence at the location from which access is requested, to enable effective and efficient authentication.

These and other objects and advantages of the invention will become apparent from the following more detailed description, when taken in conjunction with the accompanying drawings of illustrative embodiments.

BRIEF DESCRIPTION OF THE DRAWINGS

FIGURE 1 is a schematic diagram of a remote access verification system in accordance with the present invention;

FIG. 2 is a block diagram illustrating a client system for communicating with an application server, in accordance with the invention;

FIG. 3 is a block diagram of a system for communicating between a client and a remote Web server, in the practice of the present invention;

FIG. 4 is a block diagram showing a security system for an Internet Service Provider Web server, in the practice of the invention;

FIG. 5 is a block diagram of a system for enabling a client to access a remote Web server, in accordance with the present invention;

FIG. 6 is a block diagram of a client security authenticating system, in the practice of the invention; and

FIG. 7 is a block diagram of a client geographic verification system, in accordance with the invention.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

The present invention is directed to a remote access verification environment system and method, for enabling remote access to an application server, upon authentication as an authorized remote location from which a user has sought such access to the application server and for enabling access to the application server for the processing of a transaction requiring such user location authentication. The improved system and method of the present invention provides efficient, effective, and secure verification of the location of the remote access request for enabling access to the application server. The preferred embodiments of the improved system and method are illustrated and described herein by way of example only and not by way of limitation.

Referring now to the drawings, wherein like reference numerals denote like or corresponding parts throughout the drawing figures, and particularly to FIGS. 1-7, and more particularly to FIG. 1, a system 10 is utilized for enabling verification of a location 12 from which a user may be requesting remote access to an application server 14. The system 10 includes at least one user request enabling device 16 for enabling a user to request remote access to the application server 14, which user request enabling device 16 is adapted to be located at the user's location 12. The system 10 also includes at least one access server 18, for receiving and processing a request for access to the application server 14 from the user request enabling device 16, which access server 18 is adapted to be located remote from the user's location 12. It further includes an authenticating server 20 for authenticating the location 12 of the user in response to receipt of the processed request from the access server 18, adapted to be connected to the authentication server 20. It also includes a network 22, for interconnecting the user request enabling device 16, the access server 18, and the authenticating server 20.

The user request enabling device 16 may comprise, for example, an interface station or a client, such as, for example, a personal computer based system capable of running a browser and connecting to a remote computer, a hand held device, (such as a personal digital assistant and the like) a set top box connected to a television, or application specific devices incorporating a communication medium to a remote server, a display, and an input device. It may also include an identifier associated with the user's location 12, such as, for example, a cookie, and may include a dialer, such as for example a telephone dialer, located at the user's location 12. The dialer may include a number associated therewith, such as, for example, a telephone number. Where the user request enabling device 16 comprises a client 16, for example, it may include a dialer which may be used in conjunction with a dialing system which includes a plurality of numbers, each number associated with one of a plurality of dialers adapted to enable dialing therefrom, and each associated with a different user location. The dialing system may comprise, for example, a telephone system, which may include assigned telephone numbers. In such a system, the authenticating server 20 may comprise , by way of example, a Remote Access Dial-In User Service (RADIUS) server, or another server which includes dial up user validation software adapted to validate a user by comparing logon name, password, and the like, with jurisdictional values in a database or table.

In such a dialing system, the authenticating server 20 may include a system for identifying the number associated with the dialer located at the user's location 12, which system may comprise, for example, Automatic Number Identification (ANI) service, a Calling Party Number (CNID) service provided by a local central office that identifies the originating telephone number of the user, or an Internet protocol address associated with a service provider for cable, digital subscriber line, satellite networks, and the like. Further, in such a dialing system, the authenticating server 20 may include a system for identifying the first number from which the user has dialed, to prevent a user from attempting to circumvent

the system 10, e.g., by activating the dialer at the user location 12 from a location other than the user location 12, Such a first number identifying system may comprise, by way of example only, Dialed Number Identification Services (DNIS).

The authenticating server 20 in the system 10 may further include a database of authorized locations, for enabling verification of the location of the user as an authorized location. It may further include a system for determining the identity of the user, which may comprise a challenge and response system, such as, for example, software providing challenge/response authentication, or software supporting a public key infrastructure. In the challenge and response system, the authenticating server 20 may issue a security challenge to the user request enabling device 16 to verify the identity of the user. The security challenge may be issued by the authenticating server 20 in the form of a token. The client 16 may then interrogate the security challenge, generate a response, and transmit the response to the authenticating server 20. In such a system, the authenticating server 20 may include a database for enabling verification of the response of the client 16 to the security challenge, and for enabling authorization of access to the application server 14.

In accordance with the present invention, the network 22 may comprise, for example, an intranet which may include at least one local area network, adapted to interconnect at least one of the clients 16 and an access server 18, or a private network which may employ a public communications infrastructure, a cable network, a satellite network, or the like. The network 22 may alternatively comprise, for example, the Internet, for interconnecting the client and the servers in the system 10.

The system 10, in accordance with the present invention, may further include a system for insuring the user's presence at the user location 12, which may comprise a card for identifying the user, and a reader for reading the user

identifying card, adapted to be connected to the client 16 at the user location 12. The card for example may comprise a magnetic stripe card, or a hand held hardware based token, used to verify both the user and the user's actual physical presence, which may employ an encrypted value in a processor that relates the card to a user, or a mechanism for recording the user's identity by storing the user's finger-print on the card itself. The card may alternatively comprise a soft token constituting software that provides attributes of a hard token without the physical device, which may be activated through a keyboard or by voice or mouse input. The reader, for example, may be a device connected directly to a computer by a serial, parallel or infrared connection, or incorporated into a client without requiring external wiring or communications, or software for use with a soft token. Furthermore, a time out feature may be employed, in accordance with the presort invention, to insure that the user is actually physically present at the user location 12. In other words, the user can be prompted to insert his card at a particular time. Failure to do so will terminate the session as the system 10 will interpret such failure to insert/respond as the user not being physically present at the user location 12.

The system 10 may also include a firewall 24 for security verification and authentication of all data seeking to pass therethrough, and a switch 26 for switching between the access servers 18, and the authenticating server 20 and application server 14. The firewall 24 may comprise, for example, a software based firewall employing packet filtering technologies, or a hardware based hardened firewall, or the like.

An exemplary client 16, in accordance with the present invention, is shown in FIG. 2 for communicating with an application server 14 which may be Web based. The client 16 may include, for example, a microprocessor 28 for controlling input/output, communications, and software operations, a video display 30 for viewing output communications sent from the application server 14, and a

Web browser 32 or other suitable software for providing page layout display functions for the display 30. The client 16 may further include a keyboard 34 or other device for sending input communications to the application server 14, a geographic identifier 36, comprising a software program containing information regarding the geographic location and session identifier of the user, residing in storage, which may be in the form of a cookie dynamically created for each session, and a browser plug-in 38 comprising a software program for enabling the browser 32 to query the geographic identifier 36 residing in storage. The client 16 may also include a security software module 40 comprising a software program for user authentication based on hardware or software tokens residing in storage, and communications ports 42, for communicating with the remote application server 14, or for communicating with local hardware devices for software loading and security token communications with the security software module 40, which for dial-up communications includes a dialer for controlling the communications ports. The client 16 may still further include a device 44 for loading software or performing hardware scanning of authorization tokens, and the network 22 comprises the physical or virtual communications link to the remote application server 14.

In the present invention, the client 16 may comprise a personal computer, which may include the microprocessor 28, the video display 30, the Web browser 32, the keyboard 34, and the communications ports 42. The software, comprising the geographic identifier 36, the browser plug-in 38, and the security software module 40, may be obtained by the user on media loaded directly from the loading device 44, or through software downloaded from a remote server, accessed through the network 22 through the communications port 42 and installed to program in memory.

For dial-up communications, in accordance with the present invention, the geographic identifier 36 may include the dial-up phone number of an Internet

Service Provider (ISP), which may include country code, area code, prefix, and number, as is appropriate by each country. The geographic identifier 36 may be in the form of a cookie, resident in memory, and established upon dial-up. The cookie may also contain session identification for the connection to a Web server. The value of the geographic identifier 36 in the cookie may be determined by the value used in the dialer. While the typically may only is capable of utilizing the local portion dial-up value to establish communications. As such, this requires that the user be within the local calling area of the ISP, thereby determining the geographic location of the client 16 to be within a certain local calling area. For cable and other communication techniques, the value in the geographic identifier 36 is input prior to the software download, which value may include the Internet Protocol (IP) address of the ISP as well as the local support number of the ISP. The geographic identifier 36 may alternatively utilize a Geographic Positioning System (GPS) for removing reliance on user input and for removing any ambiguity regarding the exact location of the client 16.

An example of a communications system, in accordance with the present invention, for communications between the client 16 and a remote Web server through an ISP 46, is illustrated in FIG. 3. The network 22 which comprises a communications medium may, for example, be a direct dial-up connection through telephone technologies, a cable connection, a satellite connection, or the like. Once the physical connection has been established, the ISP will open a Point-to-Point Protocol (PPP) connection to enable communications with the client 16 through Transmission Control Protocol/IP (TCP/IP). The ISP 46 may then assign a virtual port number and IP address 48 to the client 16. These numbers are then used to route information from the Internet 50 to the client 16. When the client 16 requests communication with a Web server 52 on the Internet 50, the ISP assigns an actual IP address and port number 48 for that particular communication with the Web server 52. Once assigned, the ISP 46 routes the communication to the appropriate IP address of the Web server 52. The ISP 46 tracks the relationship

of the virtual address to the actual IP address and port number 48 used to communicate with the Web server 52. The ISP 46 dynamically assigns a different actual IP address and port number 48 for each communication with the Web server 52. Each session between the client 16 and the Web server 52 consists of may communications. The ISP 46 dynamically resolves all virtual and actual IP addresses and port numbers 48 to insure communications between the client 16 and the Web server 52. Once the communications have been established between the ISP 46 and the client 16, a graphical user interface application or browser 32 is launched. The browser 32 may be proprietary to the ISP 46, or may be commercially available, for example Netscape Navigator, Netscape Communication, Microsoft Explorer, or the like.

An exemplary of a security system, in accordance with the present invention, for providing a security function of verifying geographic identity upon access to the ISP 46, is shown in FIG. 4. The ISP 46 may reside on a private network and can communicate directly with the remote Web server 52. The client 16 connects to the ISP 46 through the Web server 52. The access server 18 captures relevant information regarding the geographic location of the client 16, which information may comprise ANI and DNIS. These values are interpreted by the RADIUS server 20. The RADIUS server 20 validates the user, and issues a challenge including a security token to the client 16. The client 16 interrogates the security token and receives a response which is then transmitted to the ISP 46. The RADIUS server 20 verifies the response based on values in a user accounts database 54. Upon successful verification, the RADIUS server 20 authorizes access to the ISP Web server 52 from the access server 18.

Another example, in accordance with the present invention, of a process by which the client 16 may access the remote Web server 52, by establishing communications between the client 16 and the Web server 52 through the ISP 46, is seen in FIG. 5. A proxy Web server 56 tracks communications between the

client 16, the ISP 46, and the Web server 52. The client 16 accesses the ISP 46, and the ISP 46 assigns the IP address and port number 48. The geographic identifier 36 may be dynamically established in the form of a dynamic cookie. The proxy Web server 56 accesses the user accounts database 54 and assigns the user name and a session identifier 58, which will be consistent throughout the user's session with the remote Web server 52, since the actual IP address and port number 48 may change with each messaging exchange. By attributing the user name and session identifier 58 to the entire session, only the first contact requires verification, rather than requiring verification with each connection as may be required without the Web proxy server 56. Once the remote Web server 52 has received this information, it activates the security software that will begin the security authentication of the client 16.

A system for security authentication of the client 16 through the remote Web server 52 is illustrated for example in FIG. 6. Once the Web server 52 has established the identity of the client 16 by the user name and session identifier 58, it prompts the RADIUS server 20 for authentication parameters. The RADIUS server 20 generates a challenge including a security token to the client 16, which is transmitted by the Web server 52 through the Web proxy server 56 and the ISP 46. The client 16 receives the challenge and queries the security token for a response. The client 16 then transmits the response to the ISP 46. The ISP 46 then transmits the response to the Web proxy server 56, which may again resolve any mapping changes of the IP address and port number 48 to the original session identification of the user name and session identifier 58. The response message is then transmitted to the Web sever 52. The Web server 52 sends the response to the RADIUS server 20 for verification of authenticity. If authentic, the RADIUS server 20 informs the Web server 52 to allow the client 16 access to the Web server 52. If authentication is rejected, the RADIUS server informs the Web server 52 to log the unsuccessful login attempt, to issue an error message to the client 16, and to disconnect the user.

A system for geographic verification of the client 16 subsequent to the successful login to the Web server 52 is shown, for example, in FIGS. 2 and 7. Once the client 16 has completed a successful login to the Web server 52, a server application is activated to query the client for its geographic location. Communications between the Web server 52 and the client 16 are conducted through the proxy server 56 and the ISP 46. The client 16 receives the request through its browser 32 and activates its browser plug-in 38. The browser plug-in 38 queries the geographic identifier 36 of the client 16, and returns this value to the proxy server 56. The proxy server 56 compares this value against known valid values in the user accounts database 54. If acceptable, the information is logged and the client 16 is passed to the application server 14. If unacceptable, the event is logged, an error message is issued to the client 16, and the connection is disconnected.

Although one of ordinary skill in the art will appreciate that the present invention has been described above for use in all areas of communication, wherein the geographic or jurisdictional location of a user needs to be verified, in one preferred embodiment, the present invention is used in a gaming environment to allow a user to place wagers from jurisdictions in which gambling is legal. In such an embodiment, the present invention is comprised of the following components providing a secure network environment for the Internet-based delivery of gaming contact for wagering. In accordance with the present invention, the system will comprise a gaming card, e.g., a Smart Card as manufactured by Schulumberger, Inc. The gaming card will contain both security data for identifying the user and a monetary value for placing wagers. The Smart Card will be read by a Smart Card reader, for example, such as those manufactured by Fischer, Inc. One feature of the Smart Card reader, in accordance with the present invention, is the timeout feature which will require the user to be physically present at the card reader in order to insert the Smart Card

therein at the appropriate time. In this way, the user cannot circumvent the system by placing the Smart Card in the reader in advance, and then dialing his computer from another remote location in order to seize control of the system and to gain access to the gaming service.

In practice, when the user desires to access the gaming system, the following steps are performed:

1. The user installs the appropriate software, on the computer, PDA, or the like, in accordance with the present invention, in order to gain access to the gaming system.
2. An access number, supplied by the gaming system operator, is used to gain access to the gaming system network. This number will be used to supply the corresponding ANI identification of the user's telephone number and DNIS of the originally dialed number.
3. Upon verification of the user's jurisdictional location by the RADIUS server, the user is prompted to insert the gaming card into the card reader. At this point, if ANI is missing from the data string, the call will be rejected. Upon insertion of the Smart Card, a challenge is issued from the RADIUS server to the client.
4. At this stage, the user inputs a personal identification number which is used to create a response to the server's challenge.
5. Upon validation of the challenge, the gaming system allows access to a desired URL through the client browser.

In summary, in an Intranet environment for playing games, the system allows a user to log in and, at the first stage, the system determines the geographic location of the user. Thereafter, the user is authenticated for security purposes, and at that time, the user is able to log in to the particular application they are seeking to address or access. Once access to the particular application

is granted, additional security measures, such as PINS or other security techniques may be required in order to complete the log-in process.

The present invention provides improved systems and methods for verifying the geographic location of a user, for enabling the processing of a transaction requiring user location verification, in a secure, effective and efficient manner.

In accordance with the present invention, the improved systems and methods include a system which provides effective and secure authentication of the user location, for enabling requested access to the application server for transaction processing, and for efficient and effective verification of the presence of the user at the location from which the application server access is requested.

Examples of a preferred form of source code for use in carrying out the above described software and firmware steps in conjunction with the hardware as described above, is included in the Provisional Patent Application Appendix attached to this application and incorporated herein.

It will be apparent from the foregoing that, while particular forms of the invention have been illustrated and described, various modifications can be made without departing from the spirit and scope of the invention. Accordingly, it is not intended that the invention be limited, except as by the appended claims.

WHAT IS CLAIMED IS:

1. A system for enabling remote access to an application server, upon authentication of a location from which a user has sought access as an authorized location, for enabling processing of a transaction requiring user location authentication, wherein the user location includes means for enabling the user to request remote access to the application server, the system comprising:

an access server, for receiving and processing a request for access to the application server from a user request enabling means, the server adapted to be located remote from the user's location;

an authenticator for authenticating the location of the user responsive to receipt of a processed request from the access server, the authenticator adapted to be connected to the access server; and

means for interconnecting the access server and the authenticator.

2. The system of claim 1, wherein the authenticator comprises an authenticating server.

3. The system of claim 1, wherein the authenticator includes means for determining the identity of the user.

4. The system of claim 1, further comprising means for insuring the user's presence at the location.

5. The system of claim 1, further comprising means for enabling the user to request remote access to the application server.

6. The system of claim 1, wherein the interconnecting means comprise a network.

7. The system of claim 2, wherein the authenticating server includes a database of authorized locations, for enabling verification of the location of the user as an authorized user location.

8. The system of claim 2, wherein the authenticating server comprises a Remote Access Dial-In User Service (RADIUS) server.

9. The system of claim 3, wherein the user identity determining means comprise a challenge and response system.

10. The system of claim 4, wherein the user presence insuring means comprise a card for identifying the user, and a reader for reading the user identifying card, adapted to be connected to the user access request enabling means at the user location.

11. The system of claim 5, wherein the user request enabling means comprise an interface station.

12. The system of claim 5, wherein the user request enabling means comprise a client.

13. The system of claim 5, wherein the user request enabling means include a location identifier.

14. The system of claim 5, wherein the authenticating means are adapted to issue a security challenge to the user request enabling means, and the user request enabling means are further adapted to interrogate the security challenge, to generate a response, and to transmit the response to the authenticator.

15. The system of claim 5, wherein the user request enabling means include an identifier associated with the user's location, and the authenticator

comprises means for authenticating the identifier associated with the user's location.

16. The system of claim 5, wherein the user request enabling means include a dialer, located at the user's location, and wherein the dialer includes a number associated therewith.

17. The system of claim 5, wherein the user request enabling means comprise a plurality of user request enabling means, and the interconnecting means comprise a network comprising an intranet which includes at least one local area network, adapted to interconnect at least one of the plurality of user request enabling means and the access server.

18. The system of claim 5, wherein the interconnecting means are further adapted to interconnect the user request enabling means.

19. The system of claim 6, wherein the network comprises an intranet.

20. The system of claim 6, wherein the network comprises the Internet.

21. The system of claim 8, further comprising means for enabling the user to request remote access to the application server, wherein the authenticating server is further adapted to issue a security challenge to the user request enabling means.

22. The system of claim 15, wherein the locating identifier comprises a cookie.

23. The system of claim 16, wherein the authenticator comprises a number identifier for identifying the number associated with the dialer located at the user's location.

24. The system of claim 16, wherein a dialing system includes a plurality of numbers each associated with one of a plurality of dialers adapted to enable

dialing therefrom and each dialer associated with a different user location, and the authenticator further comprises means for identifying the first number dialed from in the dialing system.

25. The system of claim 20, wherein the locating identifier comprises a dynamic cookie.

26. The system of claim 21, wherein the user request enabling means are adapted to issue a response to the security challenge, and the authenticating means include a database for enabling verification of the response of the user request enabling means to the security challenge.

27. The system of claim 23, wherein the number identifier comprises Automatic Number Identification.

28. The system of claim 24, wherein the first number identifying means comprises Dialed Number Identification Services.

29. The system of claim 26, wherein the authenticator is further adapted to verify the response of the user request enabling means to the security challenge based on the database in the authenticator, and to authorize access to the application server.

30. A system for enabling remote access to an application server, upon authentication of a location from which a user has sought access as an authorized location, for enabling processing of a transaction requiring user location authentication, wherein the user location includes means for enabling the user to request remote access to the application server, the system comprising:

an access server, for receiving and processing a request for access to the application server from a user request enabling means, the server adapted to be located remote from the user's location;

an authenticator for authenticating the location of the user responsive to receipt of the processed request from the access server, the authenticator adapted to be connected to the access server, the authenticator including a Remote Access Dial-In Service (RADIUS) server;

means for interconnecting the access server and the authenticator; and

means for enabling the user to request remote access to the application server, such means including a dialer, located at the user's location, wherein the dialer includes a dialing number associated therewith.

31. The system of claim 30, wherein the authenticator includes a number identifier for identifying the number associated with the dialer located at the user's location.

32. The system of claim 30, and further comprising a dialing system including a plurality of numbers each associated with one of a plurality of dialers adapted to enable dialing therefrom and each associated with a different user location, and the authenticator comprises means for identifying the first number dialed from the dialing system.

33. The system of claim 31, wherein the number identifier comprises Automatic Number Identification.

34. The system of claim 32 wherein the first number identifying means comprises Dialed Number Identification Services.

35. A system for enabling remote access to an application server, upon authentication of a location from which a user has sought access as an authorized location, for enabling processing of a transaction requiring user location authentication, wherein the user location includes means for enabling the user to request remote access to the application server, comprising:

an access server, for receiving a request for access to the application server from user request enabling means, adapted to be located remote from the user's location;

an authenticator for authenticating the location of the user, the authenticator adapted to be connected to the access server and further including an identifier for determining the identity of the user;

means for interconnecting the access server and the authenticator; and

means for enabling the user to request remote access to the application server.

36. The system of claim 35, wherein the user identifier further comprises a challenge and response system.

37. The system of claim 35, wherein the authenticator is adapted to issue a security challenge to the user request enabling means, and the user request enabling means are further adapted to interrogate the security challenge, to generate a response, and to transmit the response to the authenticator.

38. The system of claim 35, further comprising means for enabling the user to request remote access to the application server, wherein the authenticator server is further adapted to issue a security challenge to the user request enabling means.

39. The system of claim 38, wherein the user request enabling means are adapted to issue a response to the security challenge, and the authenticator includes a database for enabling verification of the response of the user request enabling means to the security challenge.

40. The system of claim 39, wherein the authenticating means are further adapted to verify the response of the user request enabling means to the

security challenge based on the database in the authenticator, and to authorize access to the application server.

41. A system for enabling remote access to an application server upon authentication of a location from which a user has sought access as an authorized location for enabling access to the application server and processing of a transaction requiring user location authentication, wherein the user location includes means for enabling the user to request remote access to the application server, comprising:

an access server, for receiving a request for access to the application server from user request enabling means adapted to be located remote from the user's location;

an authenticator for authenticating the location of the user, adapted to be connected to the access server;

means for interconnecting the access server and the authenticator; and

means for insuring user's presence at the location.

42. The system of claim 41, wherein the user presence insuring means comprise a card for identifying the user and a reader for reading the user identifying card, adapted to be connected to the user access request enabling means at the user location.

43. A system for enabling remote access to an application server, upon authentication of a location from which a user has sought access thereto as an authorized location, for enabling processing of a transaction requiring user location authentication, wherein the user location includes means for enabling the user to request remote access to the application server, comprising:

an access server, for receiving and processing a request for access to the application server from user request enabling means, the server adapted to be located remote from the user's location;

an authenticating server for authenticating the location of the user responsive to receipt of the processed request from the access server, adapted to be connected to the access server; and

a network for interconnecting the access server and the authenticating server.

44. The system of claim 43, further comprising a client for enabling the user to request remote access to the application server.

45. The system of claim 43, wherein the authenticating server includes a database of authorized locations, for enabling verification of the location of the user as an authorized user location.

46. The system of claim 44, wherein the client includes an identifier associated with the user's location, and the authenticating server is adapted to authenticate the identifier associated with the user's location.

47. The system of claim 44, wherein the client comprises a plurality of clients and the network comprises an intranet which includes a plurality of local area networks, each adapted to interconnect at least one of the plurality of clients and the access server.

48. A method of enabling remote access to an application server, upon authentication of a location from which a user has sought access thereto as an authorized location, for enabling processing of a transaction requiring user location authentication, wherein the user location includes means for enabling the user to request remote access to the application server, in a system which comprises an access server, for receiving and processing a request for access to

the application server from user request enabling means, adapted to be located remote from the user's location, an authenticator for authenticating the location of the user responsive to receipt of the processed request from the access server, adapted to be connected to the access server, and means for interconnecting the access server and the authenticator, wherein the method comprises:

requesting an access server to enable a user at a user's location to access an application server;

authenticating the location of the user in the authenticator; and

determining in the authenticator whether to enable the user to access the application server based on the authenticating of the user's location.

49. The method of claim 48, wherein the authenticator comprises an authenticating server, and wherein authenticating further comprises authenticating through the authenticating server.

50. The method of claim 48, wherein the authenticator includes means for determining the identity of the user, and wherein authenticating further comprises determining the identity of the user through the user identity determining means.

51. The method of claim 48, further comprising insuring the user's presence at the location through a user presence insuring means.

52. The method of claim 48, further comprising enabling the user to request remote access to the application server through the user request enabling means.

53. The method of claim 48, further comprising interconnecting the access server and the authenticating means through a network.

54. The method of claim 49, wherein authenticating comprises authenticating through an authorized location database.

55. The method of claim 49, wherein authenticating further comprises authenticating through a RADIUS server.

56. The method of claim 50, wherein determining further comprises challenging the identity of the user and processing the response thereto.

57. The method of claim 51, wherein insuring further comprises reading a user identifying card which identifies the user, via a card reader, connected to the user access request enabling means at the user location.

58. The method of claim 52, wherein enabling further comprises enabling the user request through an interface station.

59. The method of claim 52, wherein enabling further comprises enabling the user request through a client.

60. The method of claim 52, wherein enabling further comprises enabling the user request through the location identifier.

61. The method of claim 52, further comprising issuing a security challenge from the authenticator interrogating a security challenge, generating a response to the challenge, and transmitting the response from the user request enabling means.

62. The method of claim 52, wherein authenticating comprises authenticating the user's location through a user associated identifier.

63. The method of claim 52, wherein enabling comprises enabling through a dialer having an associated number.

64. The method of claim 52, wherein interconnecting comprises interconnecting a plurality of user request enabling means through a plurality of local area networks.

65. The method of claim 52, wherein interconnecting further comprises interconnecting with a user request enabling means.

66. The method of claim 53, wherein the network comprises an intranet, and wherein interconnecting further comprises interconnecting through the intranet.

67. The method of claim 53, wherein the network comprises the Internet, and wherein interconnecting further comprises interconnecting through the Internet.

68. The method of claim 55, wherein authenticating further comprises issuing a security challenge to the user request enabling means through an authenticating server.

69. The method of claim 62, wherein authenticating further comprises authenticating through a locating identifier cookie.

70. The method of claim 63, wherein the authenticator comprises means for identifying the number associated with the dialer located at the user's location, and wherein the step of authenticating further comprises identifying the number associated with the dialer.

71. The method of claim 63 wherein a dialing system includes a plurality of numbers each associated with one of a plurality of dialers adapted to enable dialing therefrom and each associated with a different user location, and the authenticator comprises means for identifying the first number dialed in the dialing system, and wherein the step of authenticating further comprises identifying the first number dialed.

72. The method of claim 67, wherein the locating identifier comprises a dynamic cookie.

73. The method of claim 68, wherein the user request enabling means are adapted to issue a response to the security challenge, and the authenticator include a database for enabling verification of the response of the user request enabling means to the security challenge, and wherein the step of authenticating further comprises verifying the response to the security challenge through the verification database.

74. The method of claim 70, wherein identifying further comprises identifying through Automatic Number Identification.

75. The method of claim 71, wherein the step of identifying further comprises identifying through Dialed Number Identification Services.

76. The method of claim 73, wherein the authenticator is further adapted to verify the response of the user request enabling means to the security challenge based on the database in the authenticator, and to authorize access to the application server, and further comprising the step of authorizing access to an application server.

ABSTRACT OF THE DISCLOSURE

A system and method for authentication of the location of a user requesting remote access to an application server for processing a transaction requiring user location authentication. The system includes a client for enabling the user to request remote access to the application server, an access server for receiving and processing the request for access, an authenticating server for authenticating the user location responsive to receipt of the processed request from the access server, and a network for interconnecting the client, the access server, the authenticating server, and the application server. The client includes an identifier associated with the user's location, and the authenticating server is adapted to authenticate the client location identifier. The client may include a dialer, including a number associated therewith, and the authenticating server may be adapted to identify the number associated with the dialer to authenticate the user's location, and may further be adapted to identify the first number dialed to further authenticate the user location. The authenticating server may issue a security challenge to the client, and the client may interrogate the security challenge, generate a response, and transmit the response to the authenticating server.

FIG. 1

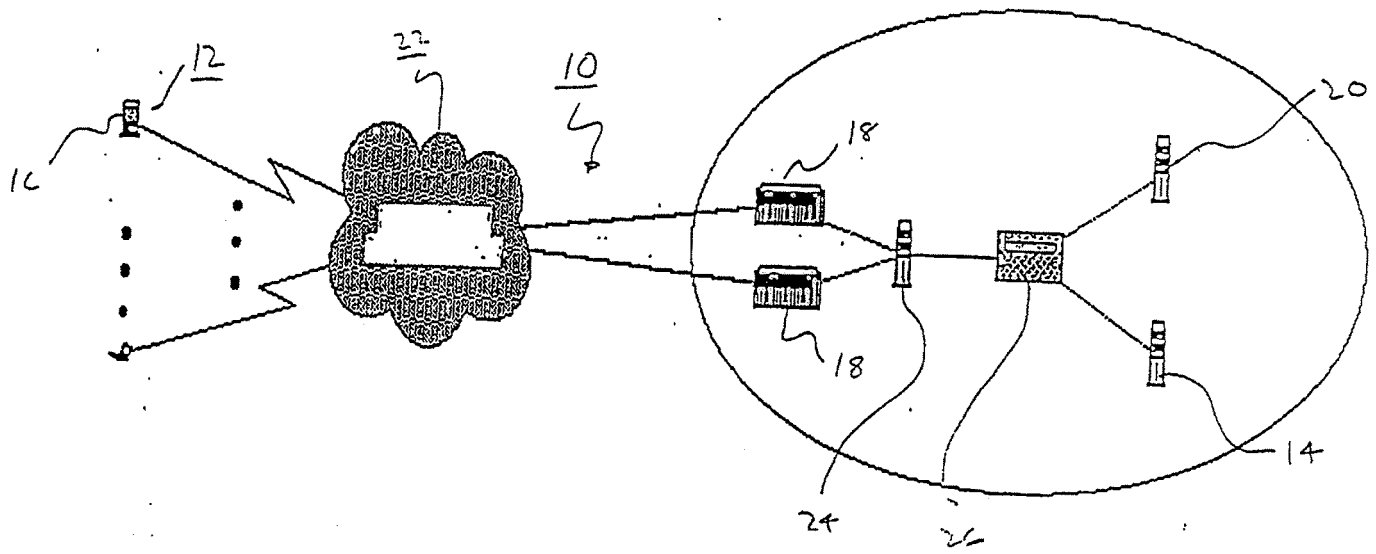


FIG. 2

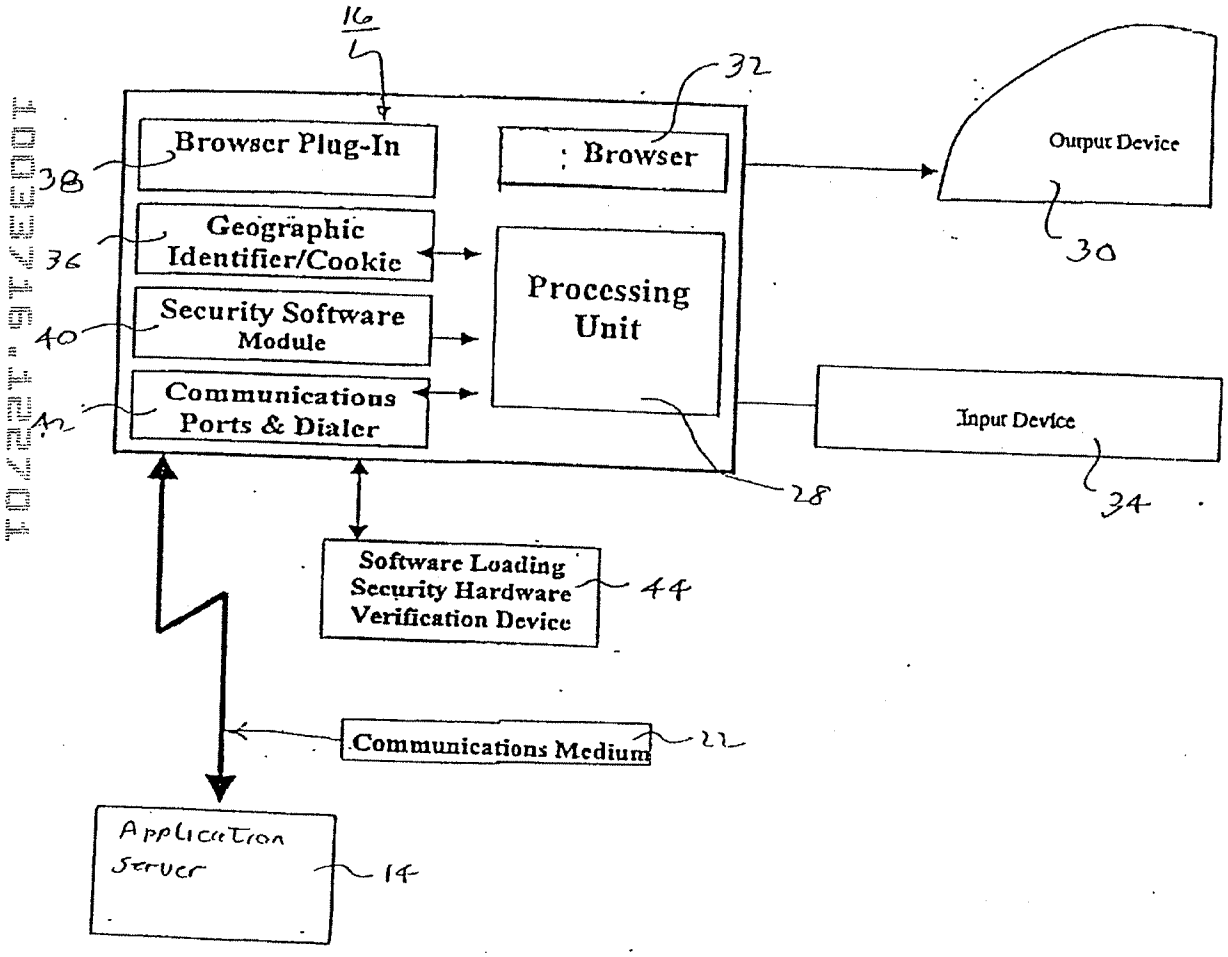

16
32
Browser Plug-In
38
Browser
Output Device
30
Geographic Identifier/Cookie
36
Security Software Module
40
Processing Unit
Communications Ports & Dialer
42
Input Device
34
28
Software Loading Security Hardware Verification Device
44
Communications Medium
22
Application Server
14

FIG. 3

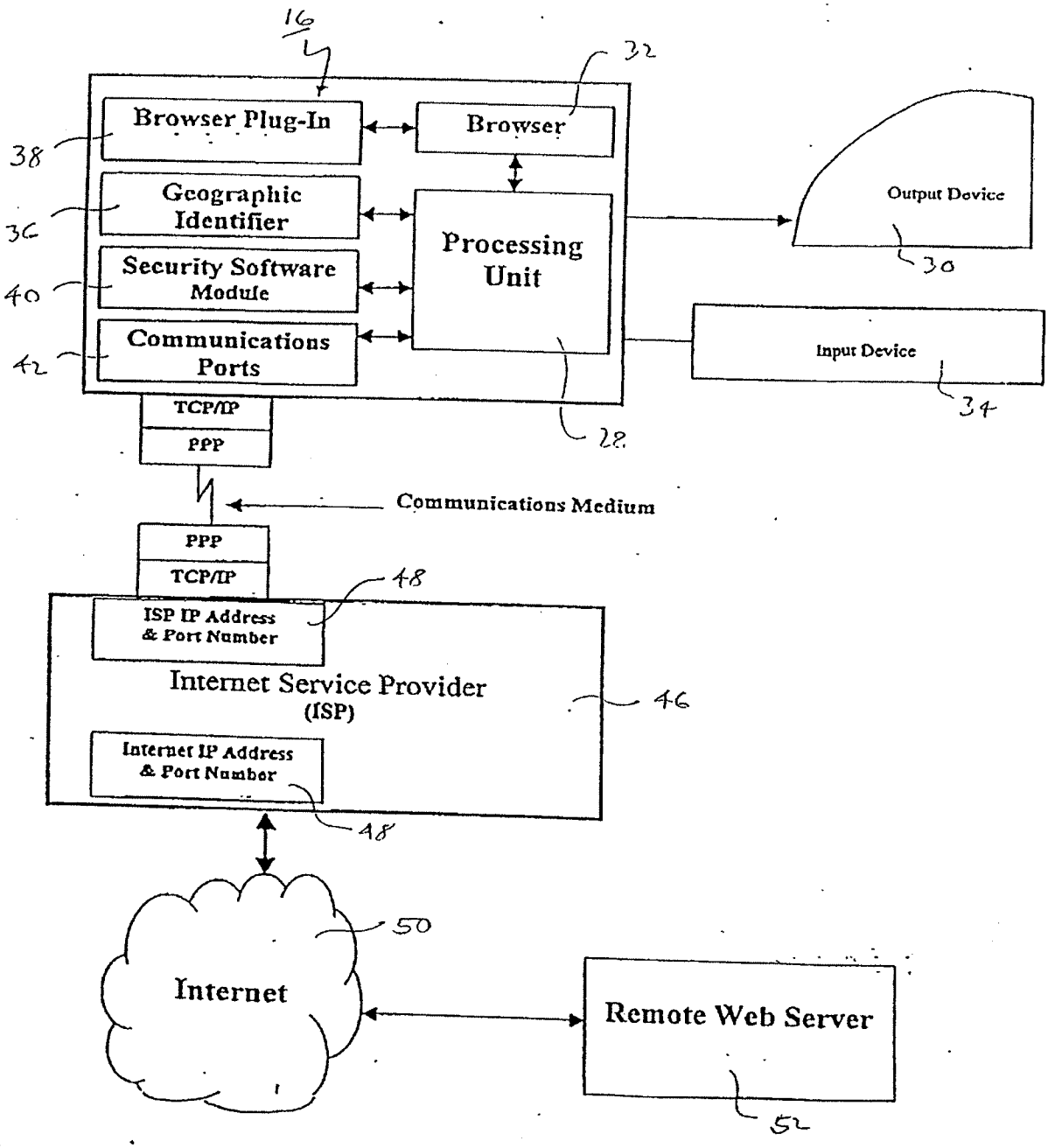

16
32
Browser Plug-In
Browser
38
Geographic Identifier
36
Security Software Module
40
Communications Ports
42
Processing Unit
Output Device
30
Input Device
34
28
TCP/IP
PPP
Communications Medium
PPP
TCP/IP
48
ISP IP Address & Port Number
Internet Service Provider (ISP)
46
Internet IP Address & Port Number
48
50
Internet
Remote Web Server
52

FIG. 4

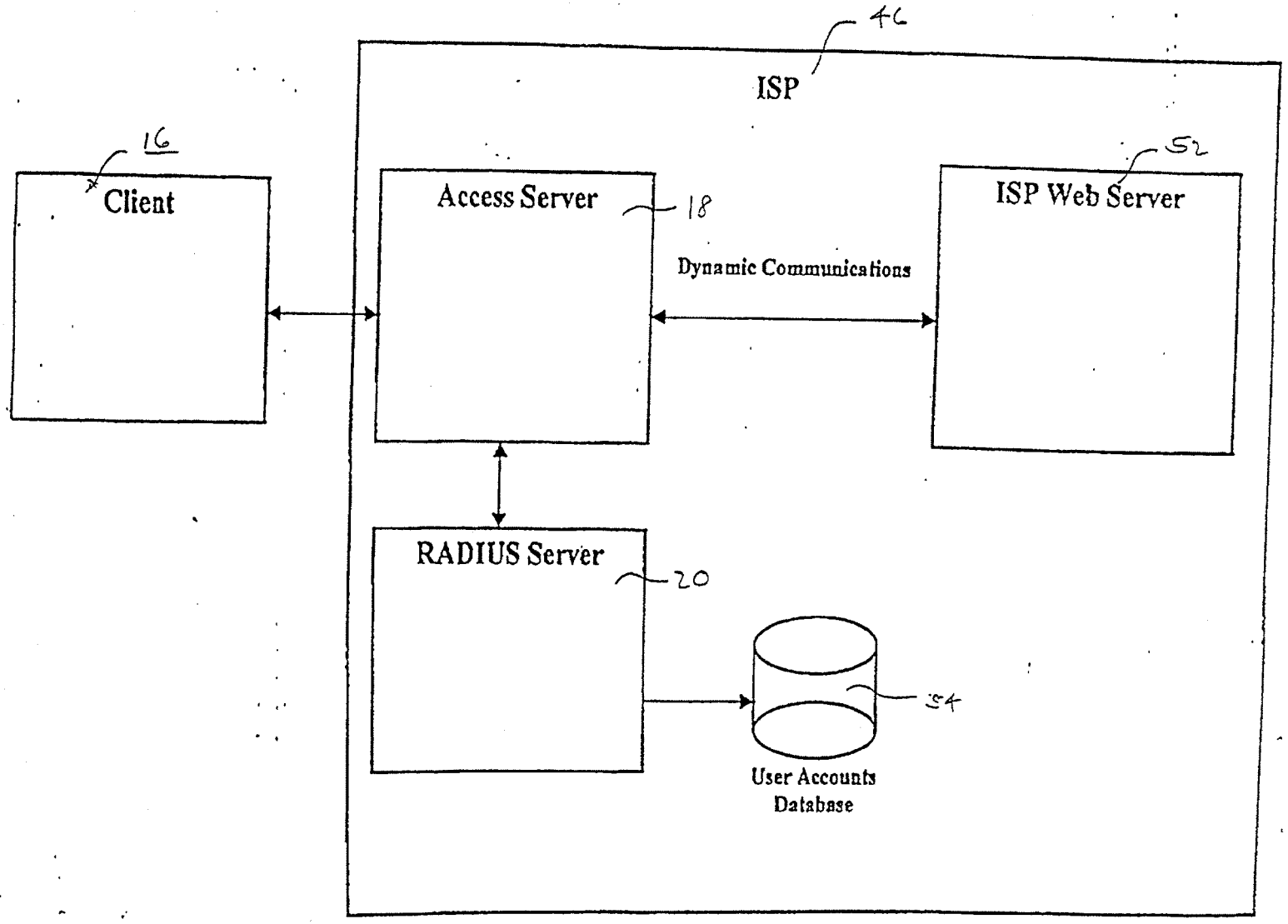

46
ISP
16
Client
Access Server
18
52
ISP Web Server
Dynamic Communications
RADIUS Server
20
54
User Accounts
Database

FIG. 5

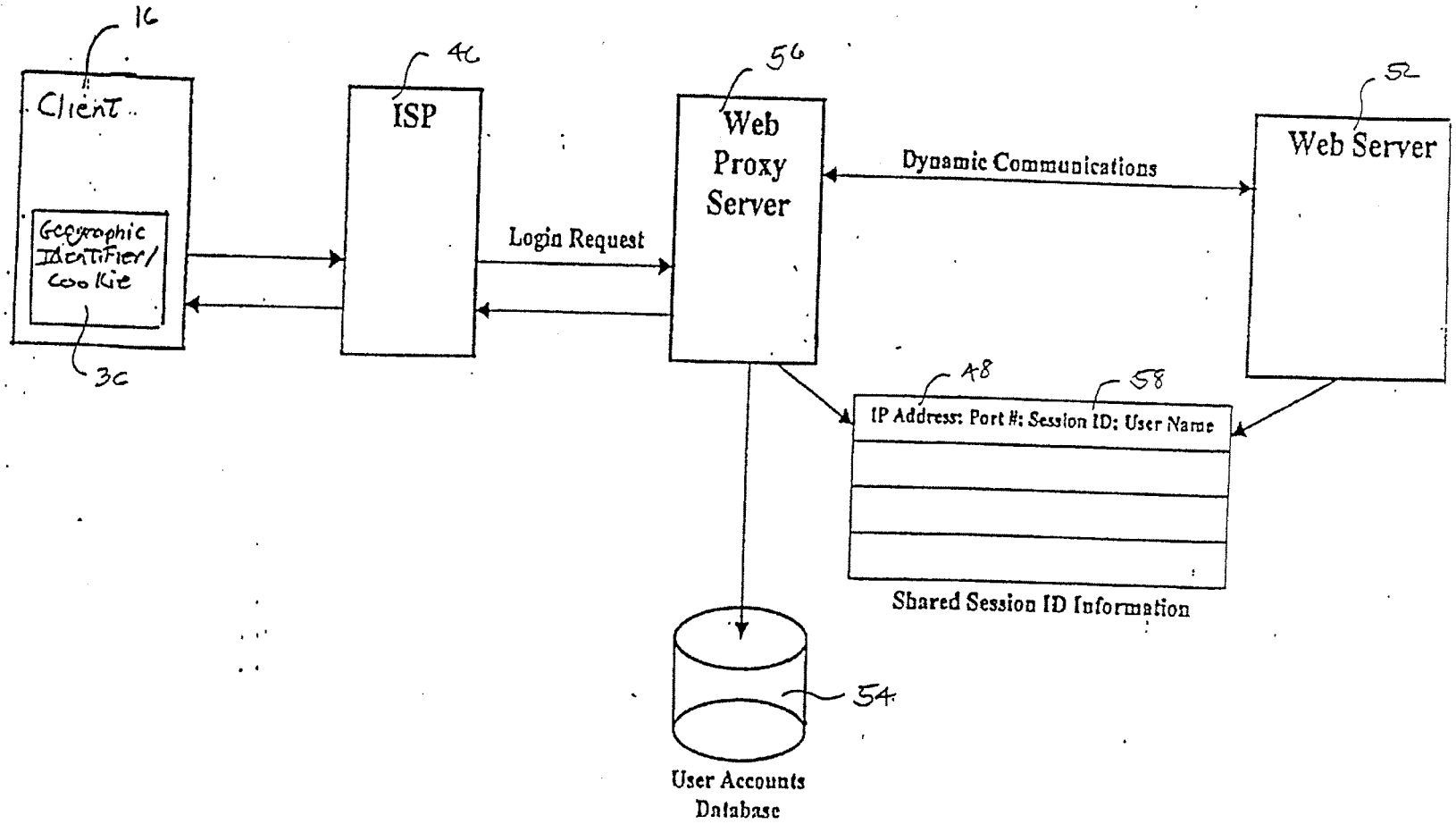

16
Client
Geographic Identifier/ Cookie
36
46
ISP
56
Web Proxy Server
Login Request
Dynamic Communications
52
Web Server
48
58
IP Address: Port #: Session ID: User Name
Shared Session ID Information
54
User Accounts Database

FIG. 6

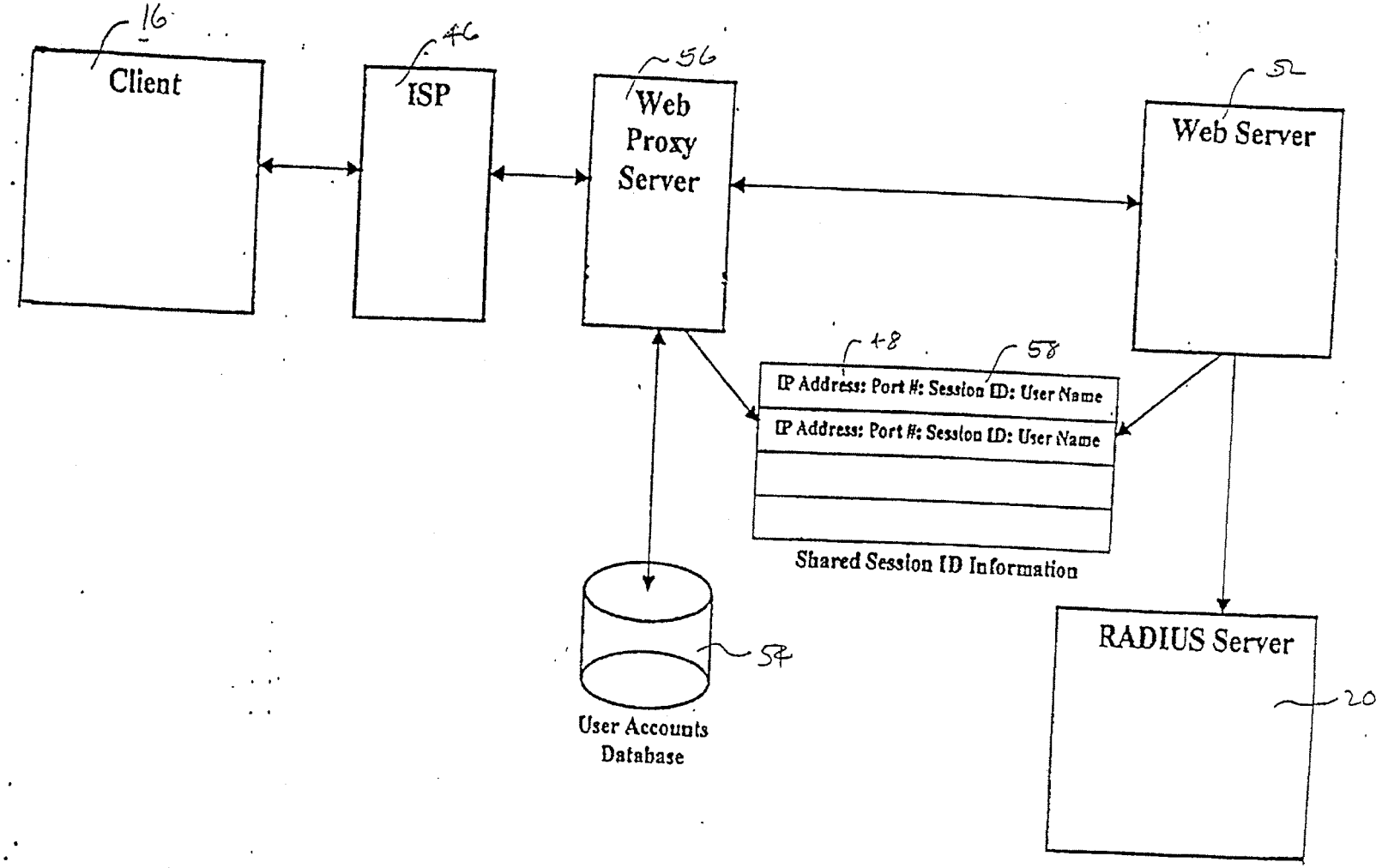
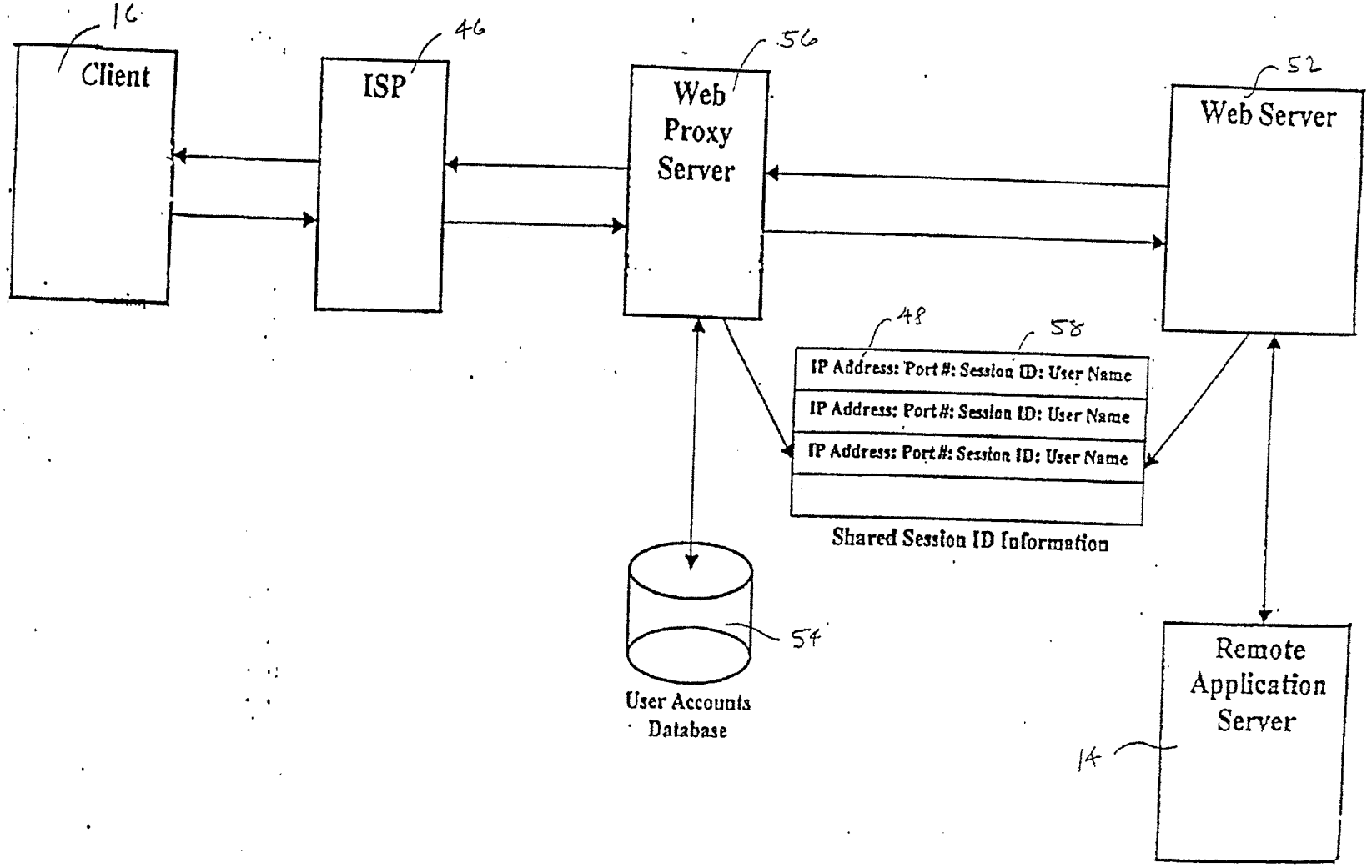

16
Client
46
ISP
56
Web Proxy Server
52
Web Server
48
58
IP Address: Port #: Session ID: User Name
IP Address: Port #: Session ID: User Name
Shared Session ID Information
57
User Accounts Database
RADIUS Server
20

FIG. 7



Doc Code: Oath
Document Description: Oath or declaration filed

PTO/SB/01 (04-09)
Approved for use through 06/30/2010. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)

☐ Declaration Submitted With Initial Filing OR ☒ Declaration Submitted After Initial Filing (surcharge (37 CFR 1.16(f)) required)

Attorney Docket Number	83336.0559
First Named Inventor	Anthony L. Fontaine
COMPLETE IF KNOWN	
Application Number	10/033,716
Filing Date	December 27, 2001
Art Unit	3621
Examiner Name	Chrystina E. Zelaskiewicz

I hereby declare that: (1) Each inventor's residence, mailing address, and citizenship are as stated below next to their name; and (2) I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention titled:

REMOTE ACCESS VERIFICATION ENVIRONMENT SYSTEM AND METHOD

(Title of the Invention)

the application of which

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY) 12/27/2001 as United States Application Number or PCT International Application Number 10/033,716 and was amended on (MM/DD/YYYY) _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified application, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

Authorization To Permit Access To Application by Participating Offices

☒ If checked, the undersigned hereby grants the USPTO authority to provide the European Patent Office (EPO), the Japan Patent Office (JPO), the Korean Intellectual Property Office (KIPO), the World Intellectual Property Office (WIPO), and any other intellectual property offices in which a foreign application claiming priority to the above-identified patent application is filed access to the above-identified patent application. See 37 CFR 1.14(c) and (h). This box should not be checked if the applicant does not wish the EPO, JPO, KIPO, WIPO, or other intellectual property office in which a foreign application claiming priority to the above-identified patent application is filed to have access to the above-identified patent application.

In accordance with 37 CFR 1.14(h)(3), access will be provided to a copy of the above-identified patent application with respect to: 1) the above-identified patent application-as-filed; 2) any foreign application to which the above-identified patent application claims priority under 35 U.S.C. 119(a)-(d) if a copy of the foreign application that satisfies the certified copy requirement of 37 CFR 1.55 has been filed in the above-identified patent application; and 3) any U.S. application-as-filed from which benefit is sought in the above-identified patent application.

In accordance with 37 CFR 1.14(c), access may be provided to information concerning the date of filing the Authorization to Permit Access to Application by Participating Offices.

PTO/SB/01 (04-09)
Approved for use through 06/30/2010. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

DECLARATION — Utility or Design Patent Application

Direct all correspondence to: ☒	The address associated with Customer Number:	66880	OR ☐	Correspondence address below

Name		
Address		
City	State	Zip
Country	Telephone	Email

WARNING:

Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available. Petitioner/applicant is advised that documents which form the record of a patent application (such as the PTO/SB/01) are placed into the Privacy Act system of records DEPARTMENT OF COMMERCE, COMMERCE-PAT-7, System name: *Patent Application Files*. Documents not retained in an application file (such as the PTO-2038) are placed into the Privacy Act system of COMMERCE/PAT-TM-10, System name: *Deposit Accounts and Electronic Funds Transfer Profiles*.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR:	☐ A petition has been filed for this unsigned inventor
Given Name (first and middle [if any])	Family Name or Surname
Anthony L.	Fontaine
Inventor's Signature	Date

Residence: City	State	Country	Citizenship
Henderson	NV	US	US

Mailing Address			
1339 Echo Creek Street			
City	State	Zip	Country
Henderson	NV	89012	US

☒ Additional inventors or a legal representative are being named on the _____ supplemental sheet(s) PTO/SB/02A or 02LR attached hereto

PTO/SB/02A (09-04)
Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

DECLARATION

ADDITIONAL INVENTOR(S)
Supplemental Sheet

Name of Additional Inventor, if any			☐ A petition has been filed for this unsigned inventor
Given Name (first and middle [if any])		Family Name or Surname	
Hyon (John) Chun		IM	
Inventor's Signature			**Date**
Residence: City Sugar Land	**State** TX	**Country** US	**Citizenship**
Mailing Address 5019 Hillswick Drive			
Mailing Address			
City Sugar Land	**State** TX	**ZIP** 77479-3930	**Country** US

Name of Additional Inventor, if any			☐ A petition has been filed for this unsigned inventor
Given Name (first and middle [if any])		Family Name or Surname	
Wesley A.		PARK	
Inventor's Signature			**Date**
Residence: City Franklin	**State** TN	**Country** US	**Citizenship** US
Mailing Address 301 Royal Oaks Blvd., #3406			
Mailing Address			
City Franklin	**State** TN	**Zip** 37067-4484	**Country** US

Name of Additional Inventor, if any			☐ A petition has been filed for this unsigned inventor
Given Name (first and middle [if any])		Family Name or Surname	
Inventor's Signature			**Date**
Residence: City	**State**	**Country**	**Citizenship**
Mailing Address			
Mailing Address			
City	**State**	**Zip**	**Country**

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

ASSIGNMENT

This Assignment is made by HYON C. IM of Sugar Land, TX, Assignor, to BALLY GAMING, INC., a Nevada Corporation, Assignee, having a place of business at 6601 South Bermuda Road, Las Vegas, NV 89119-7990;

WHEREAS, Assignor has invented a new and useful REMOTE ACCESS VERIFICATION ENVIRONMENT SYSTEM AND METHOD and Assignor believes himself to be an original, first inventor of the invention(s) disclosed and claimed in U.S. Application Numbers 60/145,068, 09/612,476, 09/854,438, and 10/033,716; and

WHEREAS, Assignee desires to acquire by formal, recordable assignment the entire right, title and interest in and to said invention, said Application, any Letters Patent, and all other related and associated intellectual property that may be granted for said invention(s) in the United States and throughout the world;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignor has sold, assigned, transferred and set over, and by these presents hereby sell, assign, transfer and set over to Assignee all of Assignor's right, title and interest in, to and under said invention(s) said Application, and all other related and associated intellectual property (including, but not limited to copyrights, trademarks, know-how and trade secrets), including (a) the right to apply for patents in the United States of America and in all foreign countries for said invention(s), (b) all application for patents for said invention(s) or based on said Application in all countries, now filed or to be filed, including all non-provisional, divisional, renewal, substitute, continuation, continuation-in-part and convention applications based in whole or in part upon said invention(s) or upon said Application, (c) all patents which may issue on said invention(s) and on any application transferred by this Assignment in the United States and foreign countries, and any and all reissues, reexams, extensions, divisions, renewals, substitutes, continuations or continuations-in-part of patents granted for said invention(s) or upon such Application, for the full term or terms for which the patents may be issued, and (d) every priority right that is or may be predicated upon or arise from said invention(s), said Application and such patents under any applicable international or bilateral treaty, agreement or convention. Assignor hereby authorizes Assignee to file a patent Application or any other application in all countries for any or all of said invention(s) in Assignor's name, or in Assignee's name or otherwise as Assignee may deem advisable, under any international or bilateral treaty, agreement or convention, or otherwise.

Further, Assignor agrees that, upon request but at no expense to Assignor, Assignor and his legal representative(s) and assigns will execute all papers that may be necessary or desirable for obtaining, sustaining, reissuing or enforcing a Letters Patent or other intellectual property rights in the United States and throughout the world for said invention, or for perfecting, recording or maintaining the title of Assignee, its successors and assigns, to said invention, said Application, any Letters Patent granted for said invention, or any other intellectual property rights in the United States and throughout the world.

Assignor also agrees that, upon request and for reasonable compensation associated with Assignor's time and out-of-pocket expenses (such amounts to be approved in writing by Assignee before incurring such expenses and time by Assignor), Assignor and his legal representative(s) and assigns will do all lawful acts, including being available as a witness or providing testimony in any court or other legal proceeding, that may be necessary or desirable for obtaining, sustaining, reissuing or enforcing a Letters Patent or other intellectual property rights in the United States and throughout the world for said invention.

Assignor represents and warrants that he has not granted and will not grant to others any rights inconsistent with the rights granted herein.

IN WITNESS WHEREOF, Assignor has executed this Assignment on the date written hereinbelow.

Dated: _____

_____
HYON C. IM

STEPTOE & JOHNSON LLP
ATTORNEYS AT LAW

Andrew B. Chen
310.734.3246
achen@steptoe.com

2121 Avenue of the Stars
Suite 2800
Los Angeles, CA 90067
Tel 310.734.3200
Fax 310.734.3300
steptoe.com

December 3, 2009

Via Certified Mail
Return Receipt Requested

Mr. Wesley A. Park
301 Royal Oaks Boulevard
#3406
Franklin, TN 37067-4484

Re: U.S. Patent Application No. 10/033,716
Filed: December 27, 2001
Title: REMOTE ACCESS VERIFICATION ENVIRONMENT SYSTEM AND METHOD
Based on: U.S. Provisional Patent Application No. 60/145,068, filed 07/09/1999
U.S. Utility Patent Application No. 09/612,476, filed 07/07/2000; and
U.S. Utility Patent Application No. 09/854,438, dated May 11, 2001
Inventors: Anthony Fontaine, Hyon (John) Im, Wesley A. Park
Owner: Bally Gaming, Inc.
Our Ref: 83336.0559

Dear Mr. Park:

Pursuant to our letter of May 26, 2009, we are currently seeking to have you execute a declaration for the U.S. Patent Office, confirming that you are indeed an inventor of the above-referenced applications

We represent Bally Gaming, Inc. and prosecute patent applications on their behalf. The above referenced applications were assigned to Bally Gaming, Inc. by Anthony L. Fontaine. It is our understanding that you may also be an inventor of the above-referenced applications. If this is correct, we are currently seeking to have you execute a declaration for the U.S. Patent Office, confirming that you are indeed an inventor of the above-referenced applications.

On December 27, 2001, we filed the above-identified patent application, which was based on the three referenced prior applications listed above. At the time the application was filed, we forwarded the enclosed patent application and declaration for your execution to your former employer, Vasco Data Security International in Oak Park Terrace, IL.

We were informed by Vasco that you were no longer employed with them, and, as such, we do not believe you ever received a copy of the application, or were able to execute the enclosed Declaration, indicating that you were one of the inventor listed on these applications.

Please find enclosed a copy of the patent application that was filed on December 27, 2001 and a Declaration for your execution and return, which, when received, will be filed with the U.S. Patent and Trademark Office. We have also enclosed an assignment of the patent application to Bally Gaming, Inc. for your execution and return.

We appreciate your assistance. If you should have any questions, please do not hesitate to contact me. As we stated above, we are attorneys for Bally Gaming, Inc. and do not represent you or your former employer, Vasco. As such, it is recommended that you contact independent counsel should have any questions regarding this matter.

Sincerely,

Andrew B. Chen

ABC:fas
Enclosures

APPLICATION

of

ANTHONY FONTAINE

HYON (JOHN) IM

AND

WESLEY PARK

for

UNITED STATES LETTERS PATENT

on

REMOTE ACCESS VERIFICATION ENVIRONMENT SYSTEM AND METHOD

Docket No. 10407/559
Sheets of Drawings: 7

Attorneys
BROWN RAYSMAN MILLSTEIN FELDER & STEINER, LLP
1880 Century Park East, Suite 711
Los Angeles, CA 90067-1698

EXPRESS MAIL LABEL NO. EL703755968US

REMOTE ACCESS VERIFICATION ENVIRONMENT SYSTEM AND METHOD

RELATED APPLICATION

This application is claiming the benefit of patent application serial no. 09/854,438 filed on May 11, 2001, which is a continuation of patent application serial no. 09/612,476 filed on July 7, 2000, and provisional application serial no. 60/145,068 filed on July 9, 1999.

BACKGROUND OF THE INVENTION

This invention relates generally to improvements in remote access verification systems and, more particularly, to a remote access verification environment system and method for enabling remote access to an application server, wherein a user's location and/or jurisdiction needs to be verified for enabling processing of a transaction requiring such user location verification.

Description of the Related Art

The present invention is directed to verification of geographic location for enabling remote access to an application server, and is particularly applicable to transactions requiring user location verification, such as gambling transactions, wherein processing gambling information for the purposes of wagering is restricted to venues where it is allowable by law.

Gambling transactions, in some form, are currently legal in 48 states in the United States and in many foreign countries. In order to insure consumer protection, gambling is highly regulated by the jurisdiction in which the activity occurs. Each jurisdiction sets its own standards for regulation including, for example, what games may be played, what the payouts must be, and consumers' recourse for the redress of grievances. Typically, gambling regulations will differ from jurisdiction to jurisdiction depending upon the social perspective on gambling in that jurisdiction. In the past, the enforcement of these regulations has been facilitated due to the nature of the activity, in that physical presence at the activity confirmed that the activity was performed within the authorized jurisdictional boundaries.

The concept of telephone wagering, e.g., consisting of betting from remote locations removed the requirement of physical presence at the gambling location and, thus, enabled a wagerer to place a bet from a remote location through a telephone without actually being physically present in the jurisdiction. In this regard, Federal legislation known as the Wire Act has now made it illegal to use a wire for the interstate transmission of wagering information.

However, with the advent of the Internet as a medium for the placing of bets or wagers, the applicability of the Wire Act to the Internet has been at issue. Proponents of the Internet gaming argued that the Internet was not a wire medium and therefore the law was not applicable to their activity. Furthermore, since most of the Internet gambling sites are currently located offshore and not within United States jurisdiction, proponents have argued that if the activity is legal in their jurisdiction, they are not in violation of United States laws.

Legislation has been introduced to specifically cover use of the Internet for wagering purposes, including the Internet Gambling Prohibition Act. Although

this act is described as a prohibition against the use of the Internet for gambling purposes, there are specific exemptions for industries using specific technology. Under this act, industries such as horse racing and state lotteries may employ a technology defined as Closed-Loop Subscriber-Based Service for the purpose of wagering, provided that the service can verify that the person is physically located in a state where the activity is legal.

Therefore, those concerned with the development and use of improved remote access verification systems, methods, and the like have long recognized the need for improved systems and methods for determining and verifying a user's geographic location for enabling access to the processing of transactions requiring such user location verification.

SUMMARY OF THE INVENTION

Briefly, and in general terms, the present invention provides a new and improved system and method for authenticating the geographic location of a user, identifying the user, and permitting the user to access an application server for transaction processing in an efficient, effective, and secure manner.

By way of example, and not by way of limitation, the present invention provides a remote access verification environment system and method for enabling verification of remote access to an application server upon authentication of a location from which a user has sought access. The system is adapted to authenticate the user location to determine whether the user's location is an authorized location for enabling access to the application server.

More particularly, the present invention may include a client for enabling the user to request remote access to the application server, an access server for

receiving and processing a request for access to the application server from the client, adapted to be located remote from the user's location, an authenticating server for authenticating the location of the user responsive to receipt of the processed request from the access server, adapted to be connected to the access server, and a network for interconnecting the client, the access server, the authenticating server, and the application server. The client may include an identifier associated with the user's location, such as a cookie, or a dynamic cookie, and the authenticating server may be adapted to authenticate the client location identifier. The client may further include a dialer located at the user's location, with a number associated with the dialer, and the authenticating server may comprise a Remote Access Dial-In User Service (RADIUS) server. The RADIUS server can include a system for authenticating the dialer number, which may be accomplished via Automatic Number Identification (ANI) system, and a system for identifying the first number from which the user has dialed, which may be accomplished via a Dialed Number Identification Services (DNIS) system. The authenticating server may also include a database of authorized locations, for enabling verification of the location of the user as an authorized user location. The network may comprise an intranet, it may include a local area network, or alternatively, it may comprise the Internet.

The system, in accordance with the present invention, may also include a system for determining the identity of the user, which may comprise a challenge and response system, wherein the authenticating server may issue a security challenge to the client, and the client may interrogate the security challenge, generate a response, and send the response to the authenticating server. The present invention may further include a system for insuring the user's presence at the location from which the request has been sent, which may consist of a card, e.g., a Smart Card, for identifying the user, and a reader for reading the card and forwarding the information to the authenticating server. The user may access the client at a location remote from the application server, for example from the user's

home, office, or kiosk. The client may further include a communications port, a facility for the loading of software such as a disk drive, compact disk drive, or a communications port, a storage area for a geographic identifier, software that controls the communications port, a processing unit to interpret the communications, and output device such as a video display or television for communications output, and an input device such as a keyboard, mouse, touch screen, or voice recognition for communications input.

In accordance with the present invention, the user may establish contact with the application server directly through a proprietary or private network, or indirectly through the Internet or a virtual private network, through enabled proxy and Web servers. Once a link between the user's client and an authenticating server has been effected, the server may query the client processing unit for information regarding the controller for the communications port. The processing unit may relay the geographic identification information contained in the communications controller to the authenticating server. During this process, the user may receive messages from the authenticating server that will be displayed on the output device. The user may be prompted to supply additional user information that may be entered through the input device. The user's geographic location identifier, as well as other pertinent information may be stored in a user account database. Successful logon to the authenticating server may activate the user's account, and may become available for tracking by the authentication-enabled application. Upon disconnection of the user, the account may be deactivated, whereupon all session specific information may be removed from the user's record. In addition, unsuccessful logon attempts may be reported, logged, and the user disconnected, thereby refusing access to the application server.

Therefore, an advantage of the present invention is that it includes a system for securely and effectively verifying the location of a user requesting

access to an application server, for enabling the secure and effective processing of a transaction requiring user location verification.

A further advantage is that the present invention provides efficient and effective systems for insuring the user's presence at the location from which access is requested, to enable effective and efficient authentication.

These and other objects and advantages of the invention will become apparent from the following more detailed description, when taken in conjunction with the accompanying drawings of illustrative embodiments.

BRIEF DESCRIPTION OF THE DRAWINGS

FIGURE 1 is a schematic diagram of a remote access verification system in accordance with the present invention;

FIG. 2 is a block diagram illustrating a client system for communicating with an application server, in accordance with the invention;

FIG. 3 is a block diagram of a system for communicating between a client and a remote Web server, in the practice of the present invention;

FIG. 4 is a block diagram showing a security system for an Internet Service Provider Web server, in the practice of the invention;

FIG. 5 is a block diagram of a system for enabling a client to access a remote Web server, in accordance with the present invention;

FIG. 6 is a block diagram of a client security authenticating system, in the practice of the invention; and

FIG. 7 is a block diagram of a client geographic verification system, in accordance with the invention.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

The present invention is directed to a remote access verification environment system and method, for enabling remote access to an application server, upon authentication as an authorized remote location from which a user has sought such access to the application server and for enabling access to the application server for the processing of a transaction requiring such user location authentication. The improved system and method of the present invention provides efficient, effective, and secure verification of the location of the remote access request for enabling access to the application server. The preferred embodiments of the improved system and method are illustrated and described herein by way of example only and not by way of limitation.

Referring now to the drawings, wherein like reference numerals denote like or corresponding parts throughout the drawing figures, and particularly to FIGS. 1-7, and more particularly to FIG. 1, a system 10 is utilized for enabling verification of a location 12 from which a user may be requesting remote access to an application server 14. The system 10 includes at least one user request enabling device 16 for enabling a user to request remote access to the application server 14, which user request enabling device 16 is adapted to be located at the user's location 12. The system 10 also includes at least one access server 18, for receiving and processing a request for access to the application server 14 from the user request enabling device 16, which access server 18 is adapted to be located remote from the user's location 12. It further includes an authenticating server 20 for authenticating the location 12 of the user in response to receipt of the processed request from the access server 18, adapted to be connected to the authentication server 20. It also includes a network 22, for interconnecting the user request enabling device 16, the access server 18, and the authenticating server 20.

The user request enabling device 16 may comprise, for example, an interface station or a client, such as, for example, a personal computer based system capable of running a browser and connecting to a remote computer, a hand held device, (such as a personal digital assistant and the like) a set top box connected to a television, or application specific devices incorporating a communication medium to a remote server, a display, and an input device. It may also include an identifier associated with the user's location 12, such as, for example, a cookie, and may include a dialer, such as for example a telephone dialer, located at the user's location 12. The dialer may include a number associated therewith, such as, for example, a telephone number. Where the user request enabling device 16 comprises a client 16, for example, it may include a dialer which may be used in conjunction with a dialing system which includes a plurality of numbers, each number associated with one of a plurality of dialers adapted to enable dialing therefrom, and each associated with a different user location. The dialing system may comprise, for example, a telephone system, which may include assigned telephone numbers. In such a system, the authenticating server 20 may comprise , by way of example, a Remote Access Dial-In User Service (RADIUS) server, or another server which includes dial up user validation software adapted to validate a user by comparing logon name, password, and the like, with jurisdictional values in a database or table.

In such a dialing system, the authenticating server 20 may include a system for identifying the number associated with the dialer located at the user's location 12, which system may comprise, for example, Automatic Number Identification (ANI) service, a Calling Party Number (CNID) service provided by a local central office that identifies the originating telephone number of the user, or an Internet protocol address associated with a service provider for cable, digital subscriber line, satellite networks, and the like. Further, in such a dialing system, the authenticating server 20 may include a system for identifying the first number from which the user has dialed, to prevent a user from attempting to circumvent

the system 10, e.g., by activating the dialer at the user location 12 from a location other than the user location 12, Such a first number identifying system may comprise, by way of example only, Dialed Number Identification Services (DNIS).

The authenticating server 20 in the system 10 may further include a database of authorized locations, for enabling verification of the location of the user as an authorized location. It may further include a system for determining the identity of the user, which may comprise a challenge and response system, such as, for example, software providing challenge/response authentication, or software supporting a public key infrastructure. In the challenge and response system, the authenticating server 20 may issue a security challenge to the user request enabling device 16 to verify the identity of the user. The security challenge may be issued by the authenticating server 20 in the form of a token. The client 16 may then interrogate the security challenge, generate a response, and transmit the response to the authenticating server 20. In such a system, the authenticating server 20 may include a database for enabling verification of the response of the client 16 to the security challenge, and for enabling authorization of access to the application server 14.

In accordance with the present invention, the network 22 may comprise, for example, an intranet which may include at least one local area network, adapted to interconnect at least one of the clients 16 and an access server 18, or a private network which may employ a public communications infrastructure, a cable network, a satellite network, or the like. The network 22 may alternatively comprise, for example, the Internet, for interconnecting the client and the servers in the system 10.

The system 10, in accordance with the present invention, may further include a system for insuring the user's presence at the user location 12, which may comprise a card for identifying the user, and a reader for reading the user

identifying card, adapted to be connected to the client 16 at the user location 12. The card for example may comprise a magnetic stripe card, or a hand held hardware based token, used to verify both the user and the user's actual physical presence, which may employ an encrypted value in a processor that relates the card to a user, or a mechanism for recording the user's identity by storing the user's finger-print on the card itself. The card may alternatively comprise a soft token constituting software that provides attributes of a hard token without the physical device, which may be activated through a keyboard or by voice or mouse input. The reader, for example, may be a device connected directly to a computer by a serial, parallel or infrared connection, or incorporated into a client without requiring external wiring or communications, or software for use with a soft token. Furthermore, a time out feature may be employed, in accordance with the presort invention, to insure that the user is actually physically present at the user location 12. In other words, the user can be prompted to insert his card at a particular time. Failure to do so will terminate the session as the system 10 will interpret such failure to insert/respond as the user not being physically present at the user location 12.

The system 10 may also include a firewall 24 for security verification and authentication of all data seeking to pass therethrough, and a switch 26 for switching between the access servers 18, and the authenticating server 20 and application server 14. The firewall 24 may comprise, for example, a software based firewall employing packet filtering technologies, or a hardware based hardened firewall, or the like.

An exemplary client 16, in accordance with the present invention, is shown in FIG. 2 for communicating with an application server 14 which may be Web based. The client 16 may include, for example, a microprocessor 28 for controlling input/output, communications, and software operations, a video display 30 for viewing output communications sent from the application server 14, and a

Web browser 32 or other suitable software for providing page layout display functions for the display 30. The client 16 may further include a keyboard 34 or other device for sending input communications to the application server 14, a geographic identifier 36, comprising a software program containing information regarding the geographic location and session identifier of the user, residing in storage, which may be in the form of a cookie dynamically created for each session, and a browser plug-in 38 comprising a software program for enabling the browser 32 to query the geographic identifier 36 residing in storage. The client 16 may also include a security software module 40 comprising a software program for user authentication based on hardware or software tokens residing in storage, and communications ports 42, for communicating with the remote application server 14, or for communicating with local hardware devices for software loading and security token communications with the security software module 40, which for dial-up communications includes a dialer for controlling the communications ports. The client 16 may still further include a device 44 for loading software or performing hardware scanning of authorization tokens, and the network 22 comprises the physical or virtual communications link to the remote application server 14.

In the present invention, the client 16 may comprise a personal computer, which may include the microprocessor 28, the video display 30, the Web browser 32, the keyboard 34, and the communications ports 42. The software, comprising the geographic identifier 36, the browser plug-in 38, and the security software module 40, may be obtained by the user on media loaded directly from the loading device 44, or through software downloaded from a remote server, accessed through the network 22 through the communications port 42 and installed to program in memory.

For dial-up communications, in accordance with the present invention, the geographic identifier 36 may include the dial-up phone number of an Internet

Service Provider (ISP), which may include country code, area code, prefix, and number, as is appropriate by each country. The geographic identifier 36 may be in the form of a cookie, resident in memory, and established upon dial-up. The cookie may also contain session identification for the connection to a Web server. The value of the geographic identifier 36 in the cookie may be determined by the value used in the dialer. While the typically may only is capable of utilizing the local portion dial-up value to establish communications. As such, this requires that the user be within the local calling area of the ISP, thereby determining the geographic location of the client 16 to be within a certain local calling area. For cable and other communication techniques, the value in the geographic identifier 36 is input prior to the software download, which value may include the Internet Protocol (IP) address of the ISP as well as the local support number of the ISP. The geographic identifier 36 may alternatively utilize a Geographic Positioning System (GPS) for removing reliance on user input and for removing any ambiguity regarding the exact location of the client 16.

An example of a communications system, in accordance with the present invention, for communications between the client 16 and a remote Web server through an ISP 46, is illustrated in FIG. 3. The network 22 which comprises a communications medium may, for example, be a direct dial-up connection through telephone technologies, a cable connection, a satellite connection, or the like. Once the physical connection has been established, the ISP will open a Point-to-Point Protocol (PPP) connection to enable communications with the client 16 through Transmission Control Protocol/IP (TCP/IP). The ISP 46 may then assign a virtual port number and IP address 48 to the client 16. These numbers are then used to route information from the Internet 50 to the client 16. When the client 16 requests communication with a Web server 52 on the Internet 50, the ISP assigns an actual IP address and port number 48 for that particular communication with the Web server 52. Once assigned, the ISP 46 routes the communication to the appropriate IP address of the Web server 52. The ISP 46 tracks the relationship

of the virtual address to the actual IP address and port number 48 used to communicate with the Web server 52. The ISP 46 dynamically assigns a different actual IP address and port number 48 for each communication with the Web server 52. Each session between the client 16 and the Web server 52 consists of may communications. The ISP 46 dynamically resolves all virtual and actual IP addresses and port numbers 48 to insure communications between the client 16 and the Web server 52. Once the communications have been established between the ISP 46 and the client 16, a graphical user interface application or browser 32 is launched. The browser 32 may be proprietary to the ISP 46, or may be commercially available, for example Netscape Navigator, Netscape Communication, Microsoft Explorer, or the like.

An exemplary of a security system, in accordance with the present invention, for providing a security function of verifying geographic identity upon access to the ISP 46, is shown in FIG. 4. The ISP 46 may reside on a private network and can communicate directly with the remote Web server 52. The client 16 connects to the ISP 46 through the Web server 52. The access server 18 captures relevant information regarding the geographic location of the client 16, which information may comprise ANI and DNIS. These values are interpreted by the RADIUS server 20. The RADIUS server 20 validates the user, and issues a challenge including a security token to the client 16. The client 16 interrogates the security token and receives a response which is then transmitted to the ISP 46. The RADIUS server 20 verifies the response based on values in a user accounts database 54. Upon successful verification, the RADIUS server 20 authorizes access to the ISP Web server 52 from the access server 18.

Another example, in accordance with the present invention, of a process by which the client 16 may access the remote Web server 52, by establishing communications between the client 16 and the Web server 52 through the ISP 46, is seen in FIG. 5. A proxy Web server 56 tracks communications between the

client 16, the ISP 46, and the Web server 52. The client 16 accesses the ISP 46, and the ISP 46 assigns the IP address and port number 48. The geographic identifier 36 may be dynamically established in the form of a dynamic cookie. The proxy Web server 56 accesses the user accounts database 54 and assigns the user name and a session identifier 58, which will be consistent throughout the user's session with the remote Web server 52, since the actual IP address and port number 48 may change with each messaging exchange. By attributing the user name and session identifier 58 to the entire session, only the first contact requires verification, rather than requiring verification with each connection as may be required without the Web proxy server 56. Once the remote Web server 52 has received this information, it activates the security software that will begin the security authentication of the client 16.

A system for security authentication of the client 16 through the remote Web server 52 is illustrated for example in FIG. 6. Once the Web server 52 has established the identity of the client 16 by the user name and session identifier 58, it prompts the RADIUS server 20 for authentication parameters. The RADIUS server 20 generates a challenge including a security token to the client 16, which is transmitted by the Web server 52 through the Web proxy server 56 and the ISP 46. The client 16 receives the challenge and queries the security token for a response. The client 16 then transmits the response to the ISP 46. The ISP 46 then transmits the response to the Web proxy server 56, which may again resolve any mapping changes of the IP address and port number 48 to the original session identification of the user name and session identifier 58. The response message is then transmitted to the Web sever 52. The Web server 52 sends the response to the RADIUS server 20 for verification of authenticity. If authentic, the RADIUS server 20 informs the Web server 52 to allow the client 16 access to the Web server 52. If authentication is rejected, the RADIUS server informs the Web server 52 to log the unsuccessful login attempt, to issue an error message to the client 16, and to disconnect the user.

A system for geographic verification of the client 16 subsequent to the successful login to the Web server 52 is shown, for example, in FIGS. 2 and 7. Once the client 16 has completed a successful login to the Web server 52, a server application is activated to query the client for its geographic location. Communications between the Web server 52 and the client 16 are conducted through the proxy server 56 and the ISP 46. The client 16 receives the request through its browser 32 and activates its browser plug-in 38. The browser plug-in 38 queries the geographic identifier 36 of the client 16, and returns this value to the proxy server 56. The proxy server 56 compares this value against known valid values in the user accounts database 54. If acceptable, the information is logged and the client 16 is passed to the application server 14. If unacceptable, the event is logged, an error message is issued to the client 16, and the connection is disconnected.

Although one of ordinary skill in the art will appreciate that the present invention has been described above for use in all areas of communication, wherein the geographic or jurisdictional location of a user needs to be verified, in one preferred embodiment, the present invention is used in a gaming environment to allow a user to place wagers from jurisdictions in which gambling is legal. In such an embodiment, the present invention is comprised of the following components providing a secure network environment for the Internet-based delivery of gaming contact for wagering. In accordance with the present invention, the system will comprise a gaming card, e.g., a Smart Card as manufactured by Schulumberger, Inc. The gaming card will contain both security data for identifying the user and a monetary value for placing wagers. The Smart Card will be read by a Smart Card reader, for example, such as those manufactured by Fischer, Inc. One feature of the Smart Card reader, in accordance with the present invention, is the timeout feature which will require the user to be physically present at the card reader in order to insert the Smart Card

therein at the appropriate time. In this way, the user cannot circumvent the system by placing the Smart Card in the reader in advance, and then dialing his computer from another remote location in order to seize control of the system and to gain access to the gaming service.

In practice, when the user desires to access the gaming system, the following steps are performed:

1. The user installs the appropriate software, on the computer, PDA, or the like, in accordance with the present invention, in order to gain access to the gaming system.
2. An access number, supplied by the gaming system operator, is used to gain access to the gaming system network. This number will be used to supply the corresponding ANI identification of the user's telephone number and DNIS of the originally dialed number.
3. Upon verification of the user's jurisdictional location by the RADIUS server, the user is prompted to insert the gaming card into the card reader. At this point, if ANI is missing from the data string, the call will be rejected. Upon insertion of the Smart Card, a challenge is issued from the RADIUS server to the client.
4. At this stage, the user inputs a personal identification number which is used to create a response to the server's challenge.
5. Upon validation of the challenge, the gaming system allows access to a desired URL through the client browser.

In summary, in an Intranet environment for playing games, the system allows a user to log in and, at the first stage, the system determines the geographic location of the user. Thereafter, the user is authenticated for security purposes, and at that time, the user is able to log in to the particular application they are seeking to address or access. Once access to the particular application

is granted, additional security measures, such as PINS or other security techniques may be required in order to complete the log-in process.

The present invention provides improved systems and methods for verifying the geographic location of a user, for enabling the processing of a transaction requiring user location verification, in a secure, effective and efficient manner.

In accordance with the present invention, the improved systems and methods include a system which provides effective and secure authentication of the user location, for enabling requested access to the application server for transaction processing, and for efficient and effective verification of the presence of the user at the location from which the application server access is requested.

Examples of a preferred form of source code for use in carrying out the above described software and firmware steps in conjunction with the hardware as described above, is included in the Provisional Patent Application Appendix attached to this application and incorporated herein.

It will be apparent from the foregoing that, while particular forms of the invention have been illustrated and described, various modifications can be made without departing from the spirit and scope of the invention. Accordingly, it is not intended that the invention be limited, except as by the appended claims.

WHAT IS CLAIMED IS:

1. A system for enabling remote access to an application server, upon authentication of a location from which a user has sought access as an authorized location, for enabling processing of a transaction requiring user location authentication, wherein the user location includes means for enabling the user to request remote access to the application server, the system comprising:

an access server, for receiving and processing a request for access to the application server from a user request enabling means, the server adapted to be located remote from the user's location;

an authenticator for authenticating the location of the user responsive to receipt of a processed request from the access server, the authenticator adapted to be connected to the access server; and

means for interconnecting the access server and the authenticator.

2. The system of claim 1, wherein the authenticator comprises an authenticating server.

3. The system of claim 1, wherein the authenticator includes means for determining the identity of the user.

4. The system of claim 1, further comprising means for insuring the user's presence at the location.

5. The system of claim 1, further comprising means for enabling the user to request remote access to the application server.

6. The system of claim 1, wherein the interconnecting means comprise a network.

7. The system of claim 2, wherein the authenticating server includes a database of authorized locations, for enabling verification of the location of the user as an authorized user location.

8. The system of claim 2, wherein the authenticating server comprises a Remote Access Dial-In User Service (RADIUS) server.

9. The system of claim 3, wherein the user identity determining means comprise a challenge and response system.

10. The system of claim 4, wherein the user presence insuring means comprise a card for identifying the user, and a reader for reading the user identifying card, adapted to be connected to the user access request enabling means at the user location.

11. The system of claim 5, wherein the user request enabling means comprise an interface station.

12. The system of claim 5, wherein the user request enabling means comprise a client.

13. The system of claim 5, wherein the user request enabling means include a location identifier.

14. The system of claim 5, wherein the authenticating means are adapted to issue a security challenge to the user request enabling means, and the user request enabling means are further adapted to interrogate the security challenge, to generate a response, and to transmit the response to the authenticator.

15. The system of claim 5, wherein the user request enabling means include an identifier associated with the user's location, and the authenticator

comprises means for authenticating the identifier associated with the user's location.

16. The system of claim 5, wherein the user request enabling means include a dialer, located at the user's location, and wherein the dialer includes a number associated therewith.

17. The system of claim 5, wherein the user request enabling means comprise a plurality of user request enabling means, and the interconnecting means comprise a network comprising an intranet which includes at least one local area network, adapted to interconnect at least one of the plurality of user request enabling means and the access server.

18. The system of claim 5, wherein the interconnecting means are further adapted to interconnect the user request enabling means.

19. The system of claim 6, wherein the network comprises an intranet.

20. The system of claim 6, wherein the network comprises the Internet.

21. The system of claim 8, further comprising means for enabling the user to request remote access to the application server, wherein the authenticating server is further adapted to issue a security challenge to the user request enabling means.

22. The system of claim 15, wherein the locating identifier comprises a cookie.

23. The system of claim 16, wherein the authenticator comprises a number identifier for identifying the number associated with the dialer located at the user's location.

24. The system of claim 16, wherein a dialing system includes a plurality of numbers each associated with one of a plurality of dialers adapted to enable

dialing therefrom and each dialer associated with a different user location, and the authenticator further comprises means for identifying the first number dialed from in the dialing system.

25. The system of claim 20, wherein the locating identifier comprises a dynamic cookie.

26. The system of claim 21, wherein the user request enabling means are adapted to issue a response to the security challenge, and the authenticating means include a database for enabling verification of the response of the user request enabling means to the security challenge.

27. The system of claim 23, wherein the number identifier comprises Automatic Number Identification.

28. The system of claim 24, wherein the first number identifying means comprises Dialed Number Identification Services.

29. The system of claim 26, wherein the authenticator is further adapted to verify the response of the user request enabling means to the security challenge based on the database in the authenticator, and to authorize access to the application server.

30. A system for enabling remote access to an application server, upon authentication of a location from which a user has sought access as an authorized location, for enabling processing of a transaction requiring user location authentication, wherein the user location includes means for enabling the user to request remote access to the application server, the system comprising:

an access server, for receiving and processing a request for access to the application server from a user request enabling means, the server adapted to be located remote from the user's location;

an authenticator for authenticating the location of the user responsive to receipt of the processed request from the access server, the authenticator adapted to be connected to the access server, the authenticator including a Remote Access Dial-In Service (RADIUS) server;

means for interconnecting the access server and the authenticator; and

means for enabling the user to request remote access to the application server, such means including a dialer, located at the user's location, wherein the dialer includes a dialing number associated therewith.

31. The system of claim 30, wherein the authenticator includes a number identifier for identifying the number associated with the dialer located at the user's location.

32. The system of claim 30, and further comprising a dialing system including a plurality of numbers each associated with one of a plurality of dialers adapted to enable dialing therefrom and each associated with a different user location, and the authenticator comprises means for identifying the first number dialed from the dialing system.

33. The system of claim 31, wherein the number identifier comprises Automatic Number Identification.

34. The system of claim 32 wherein the first number identifying means comprises Dialed Number Identification Services.

35. A system for enabling remote access to an application server, upon authentication of a location from which a user has sought access as an authorized location, for enabling processing of a transaction requiring user location authentication, wherein the user location includes means for enabling the user to request remote access to the application server, comprising:

an access server, for receiving a request for access to the application server from user request enabling means, adapted to be located remote from the user's location;

an authenticator for authenticating the location of the user, the authenticator adapted to be connected to the access server and further including an identifier for determining the identity of the user;

means for interconnecting the access server and the authenticator; and

means for enabling the user to request remote access to the application server.

36. The system of claim 35, wherein the user identifier further comprises a challenge and response system.

37. The system of claim 35, wherein the authenticator is adapted to issue a security challenge to the user request enabling means, and the user request enabling means are further adapted to interrogate the security challenge, to generate a response, and to transmit the response to the authenticator.

38. The system of claim 35, further comprising means for enabling the user to request remote access to the application server, wherein the authenticator server is further adapted to issue a security challenge to the user request enabling means.

39. The system of claim 38, wherein the user request enabling means are adapted to issue a response to the security challenge, and the authenticator includes a database for enabling verification of the response of the user request enabling means to the security challenge.

40. The system of claim 39, wherein the authenticating means are further adapted to verify the response of the user request enabling means to the

security challenge based on the database in the authenticator, and to authorize access to the application server.

41. A system for enabling remote access to an application server upon authentication of a location from which a user has sought access as an authorized location for enabling access to the application server and processing of a transaction requiring user location authentication, wherein the user location includes means for enabling the user to request remote access to the application server, comprising:

an access server, for receiving a request for access to the application server from user request enabling means adapted to be located remote from the user's location;

an authenticator for authenticating the location of the user, adapted to be connected to the access server;

means for interconnecting the access server and the authenticator; and

means for insuring user's presence at the location.

42. The system of claim 41, wherein the user presence insuring means comprise a card for identifying the user and a reader for reading the user identifying card, adapted to be connected to the user access request enabling means at the user location.

43. A system for enabling remote access to an application server, upon authentication of a location from which a user has sought access thereto as an authorized location, for enabling processing of a transaction requiring user location authentication, wherein the user location includes means for enabling the user to request remote access to the application server, comprising:

an access server, for receiving and processing a request for access to the application server from user request enabling means, the server adapted to be located remote from the user's location;

an authenticating server for authenticating the location of the user responsive to receipt of the processed request from the access server, adapted to be connected to the access server; and

a network for interconnecting the access server and the authenticating server.

44. The system of claim 43, further comprising a client for enabling the user to request remote access to the application server.

45. The system of claim 43, wherein the authenticating server includes a database of authorized locations, for enabling verification of the location of the user as an authorized user location.

46. The system of claim 44, wherein the client includes an identifier associated with the user's location, and the authenticating server is adapted to authenticate the identifier associated with the user's location.

47. The system of claim 44, wherein the client comprises a plurality of clients and the network comprises an intranet which includes a plurality of local area networks, each adapted to interconnect at least one of the plurality of clients and the access server.

48. A method of enabling remote access to an application server, upon authentication of a location from which a user has sought access thereto as an authorized location, for enabling processing of a transaction requiring user location authentication, wherein the user location includes means for enabling the user to request remote access to the application server, in a system which comprises an access server, for receiving and processing a request for access to

the application server from user request enabling means, adapted to be located remote from the user's location, an authenticator for authenticating the location of the user responsive to receipt of the processed request from the access server, adapted to be connected to the access server, and means for interconnecting the access server and the authenticator, wherein the method comprises:

requesting an access server to enable a user at a user's location to access an application server;

authenticating the location of the user in the authenticator; and

determining in the authenticator whether to enable the user to access the application server based on the authenticating of the user's location.

49. The method of claim 48, wherein the authenticator comprises an authenticating server, and wherein authenticating further comprises authenticating through the authenticating server.

50. The method of claim 48, wherein the authenticator includes means for determining the identity of the user, and wherein authenticating further comprises determining the identity of the user through the user identity determining means.

51. The method of claim 48, further comprising insuring the user's presence at the location through a user presence insuring means.

52. The method of claim 48, further comprising enabling the user to request remote access to the application server through the user request enabling means.

53. The method of claim 48, further comprising interconnecting the access server and the authenticating means through a network.

54. The method of claim 49, wherein authenticating comprises authenticating through an authorized location database.

55. The method of claim 49, wherein authenticating further comprises authenticating through a RADIUS server.

56. The method of claim 50, wherein determining further comprises challenging the identity of the user and processing the response thereto.

57. The method of claim 51, wherein insuring further comprises reading a user identifying card which identifies the user, via a card reader, connected to the user access request enabling means at the user location.

58. The method of claim 52, wherein enabling further comprises enabling the user request through an interface station.

59. The method of claim 52, wherein enabling further comprises enabling the user request through a client.

60. The method of claim 52, wherein enabling further comprises enabling the user request through the location identifier.

61. The method of claim 52, further comprising issuing a security challenge from the authenticator interrogating a security challenge, generating a response to the challenge, and transmitting the response from the user request enabling means.

62. The method of claim 52, wherein authenticating comprises authenticating the user's location through a user associated identifier.

63. The method of claim 52, wherein enabling comprises enabling through a dialer having an associated number.

64. The method of claim 52, wherein interconnecting comprises interconnecting a plurality of user request enabling means through a plurality of local area networks.

65. The method of claim 52, wherein interconnecting further comprises interconnecting with a user request enabling means.

66. The method of claim 53, wherein the network comprises an intranet, and wherein interconnecting further comprises interconnecting through the intranet.

67. The method of claim 53, wherein the network comprises the Internet, and wherein interconnecting further comprises interconnecting through the Internet.

68. The method of claim 55, wherein authenticating further comprises issuing a security challenge to the user request enabling means through an authenticating server.

69. The method of claim 62, wherein authenticating further comprises authenticating through a locating identifier cookie.

70. The method of claim 63, wherein the authenticator comprises means for identifying the number associated with the dialer located at the user's location, and wherein the step of authenticating further comprises identifying the number associated with the dialer.

71. The method of claim 63 wherein a dialing system includes a plurality of numbers each associated with one of a plurality of dialers adapted to enable dialing therefrom and each associated with a different user location, and the authenticator comprises means for identifying the first number dialed in the dialing system, and wherein the step of authenticating further comprises identifying the first number dialed.

72. The method of claim 67, wherein the locating identifier comprises a dynamic cookie.

73. The method of claim 68, wherein the user request enabling means are adapted to issue a response to the security challenge, and the authenticator include a database for enabling verification of the response of the user request enabling means to the security challenge, and wherein the step of authenticating further comprises verifying the response to the security challenge through the verification database.

74. The method of claim 70, wherein identifying further comprises identifying through Automatic Number Identification.

75. The method of claim 71, wherein the step of identifying further comprises identifying through Dialed Number Identification Services.

76. The method of claim 73, wherein the authenticator is further adapted to verify the response of the user request enabling means to the security challenge based on the database in the authenticator, and to authorize access to the application server, and further comprising the step of authorizing access to an application server.

ABSTRACT OF THE DISCLOSURE

A system and method for authentication of the location of a user requesting remote access to an application server for processing a transaction requiring user location authentication. The system includes a client for enabling the user to request remote access to the application server, an access server for receiving and processing the request for access, an authenticating server for authenticating the user location responsive to receipt of the processed request from the access server, and a network for interconnecting the client, the access server, the authenticating server, and the application server. The client includes an identifier associated with the user's location, and the authenticating server is adapted to authenticate the client location identifier. The client may include a dialer, including a number associated therewith, and the authenticating server may be adapted to identify the number associated with the dialer to authenticate the user's location, and may further be adapted to identify the first number dialed to further authenticate the user location. The authenticating server may issue a security challenge to the client, and the client may interrogate the security challenge, generate a response, and transmit the response to the authenticating server.

FIG. 1

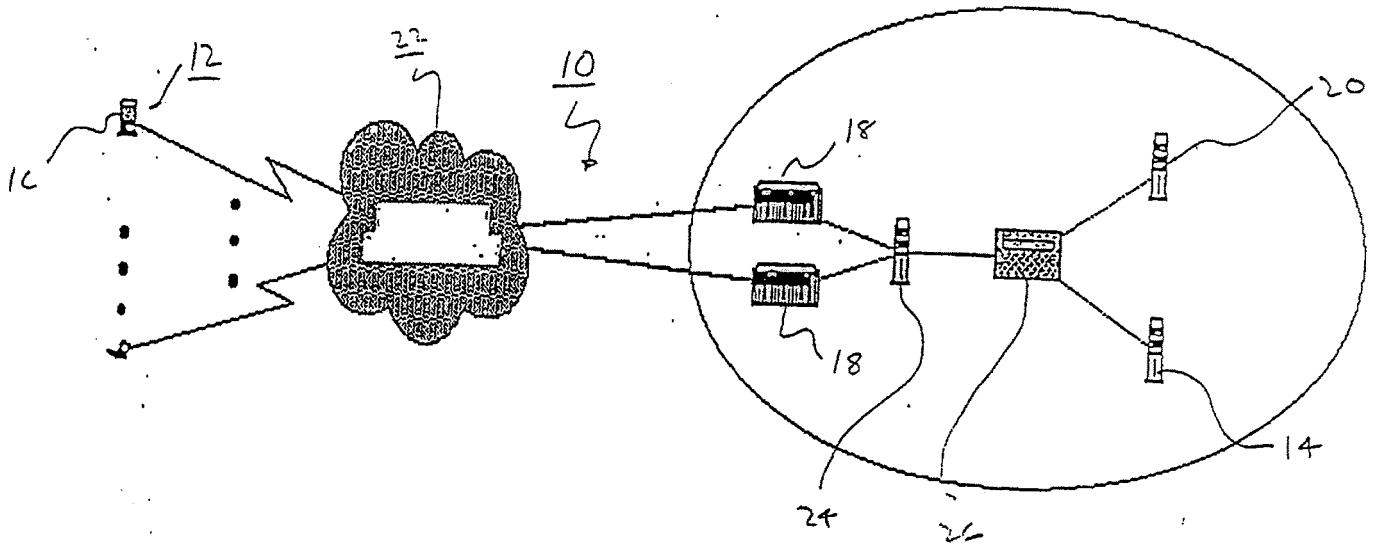


FIG. 2

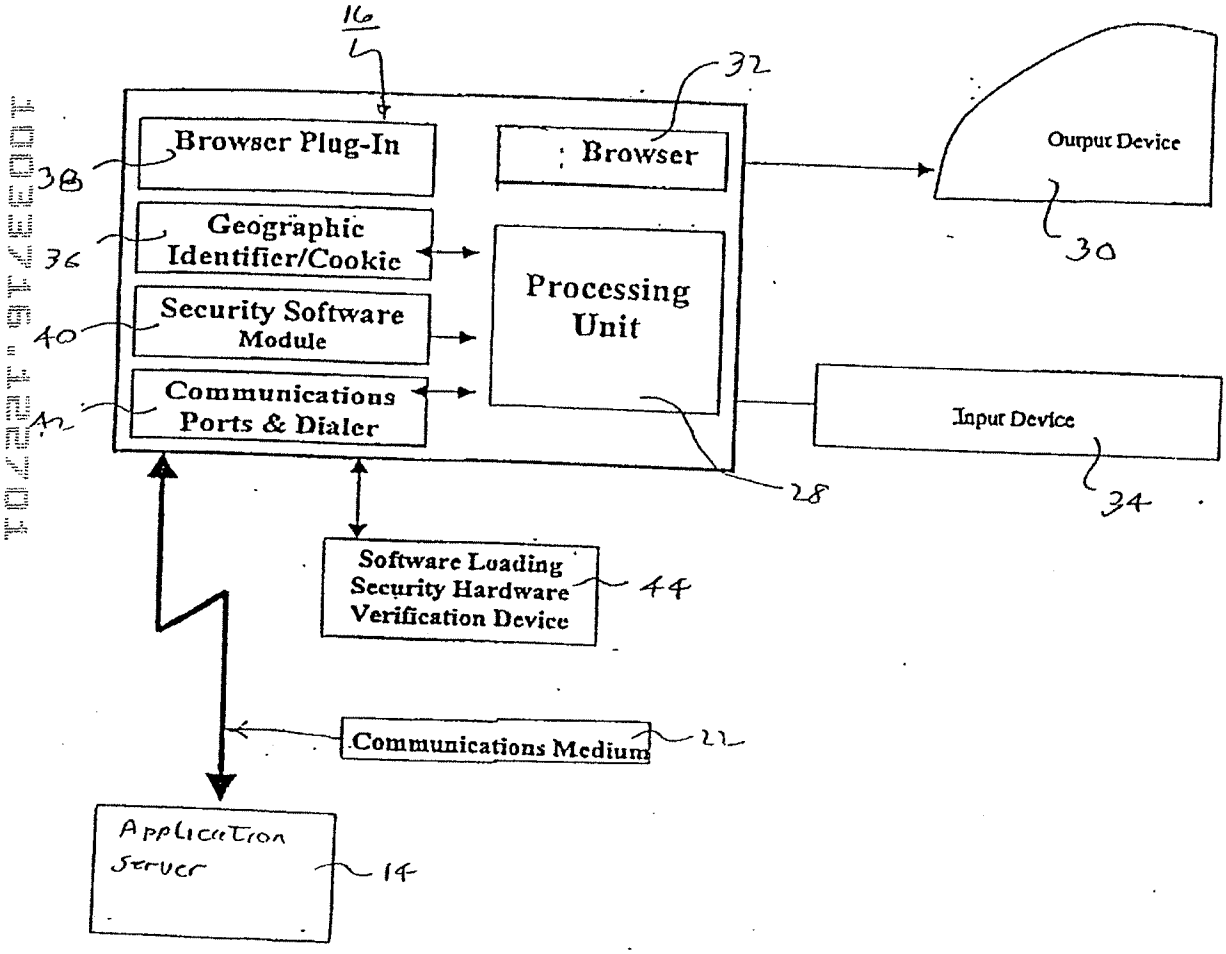

16
32
Browser Plug-In
38
Browser
Output Device
30
Geographic Identifier/Cookie
36
Processing Unit
Security Software Module
40
Communications Ports & Dialer
42
Input Device
34
28
Software Loading Security Hardware Verification Device
44
Communications Medium
22
Application Server
14

FIG. 3

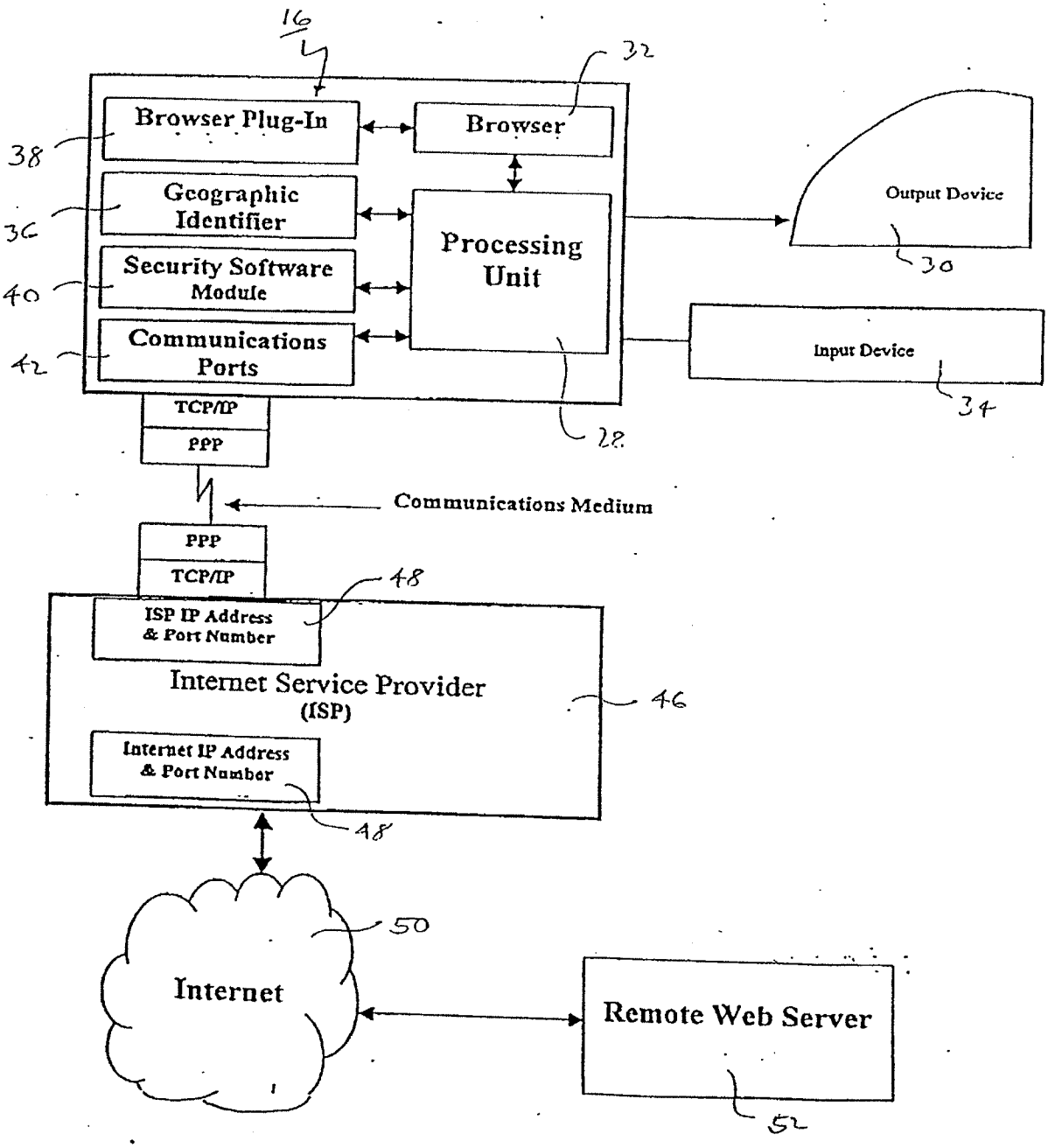

16
32
Browser Plug-In
Browser
38
Geographic Identifier
36
Security Software Module
40
Communications Ports
42
Processing Unit
Output Device
30
Input Device
34
28
TCP/IP
PPP
Communications Medium
PPP
TCP/IP
48
ISP IP Address & Port Number
Internet Service Provider (ISP)
46
Internet IP Address & Port Number
48
50
Internet
Remote Web Server
52

FIG. 4

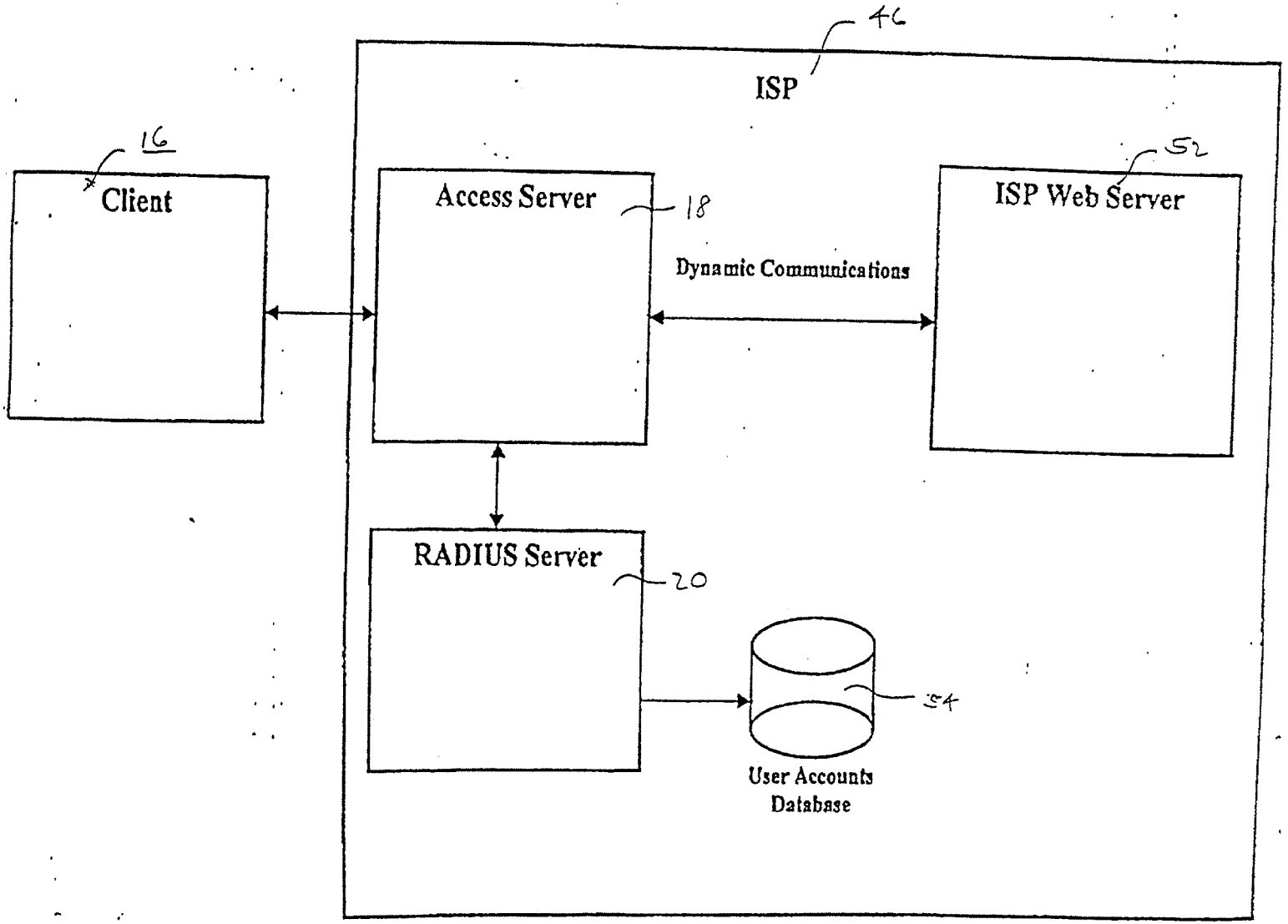

46
ISP
16
Client
Access Server
18
52
ISP Web Server
Dynamic Communications
RADIUS Server
20
54
User Accounts
Database

FIG. 5

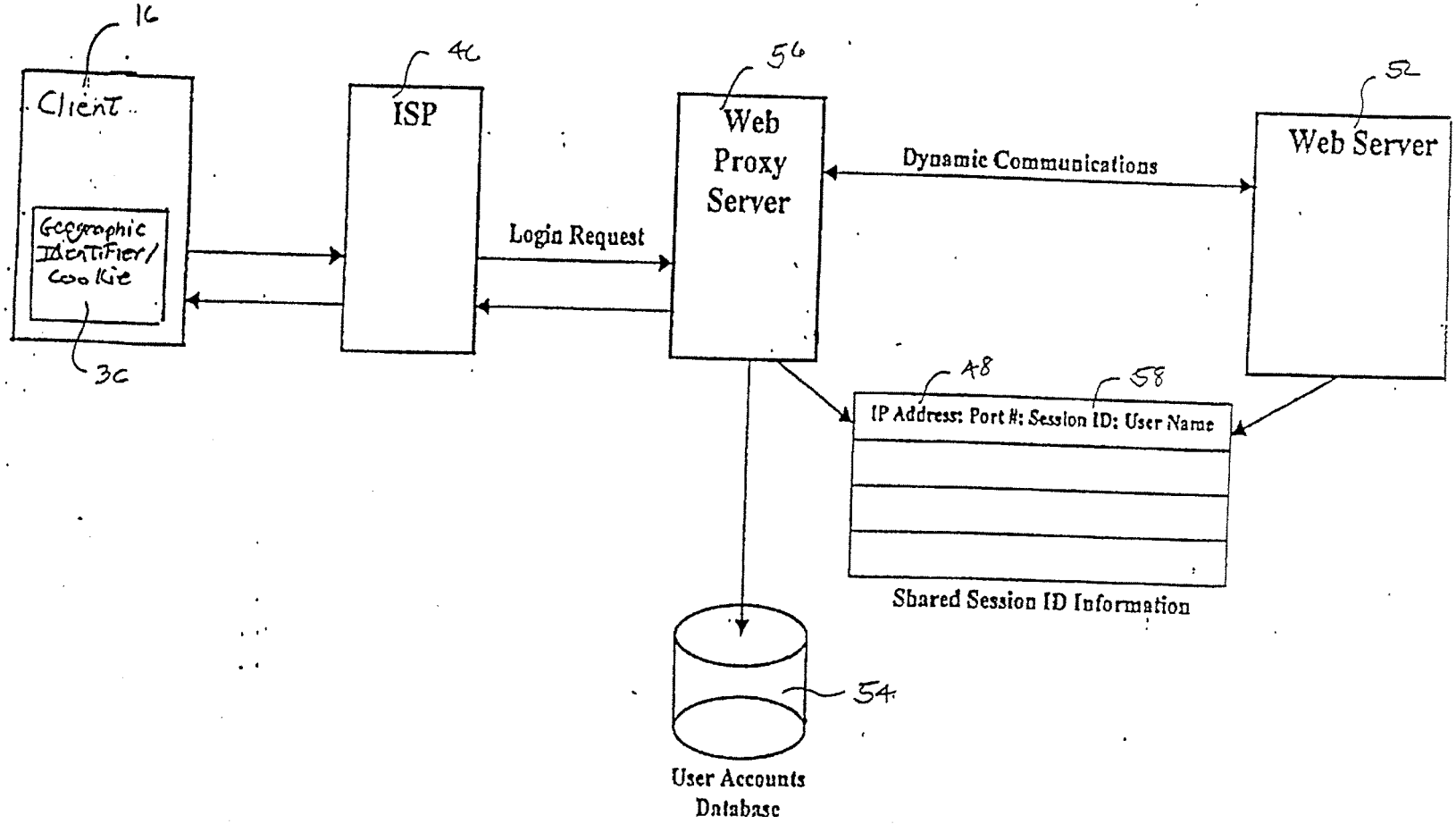


FIG. 6

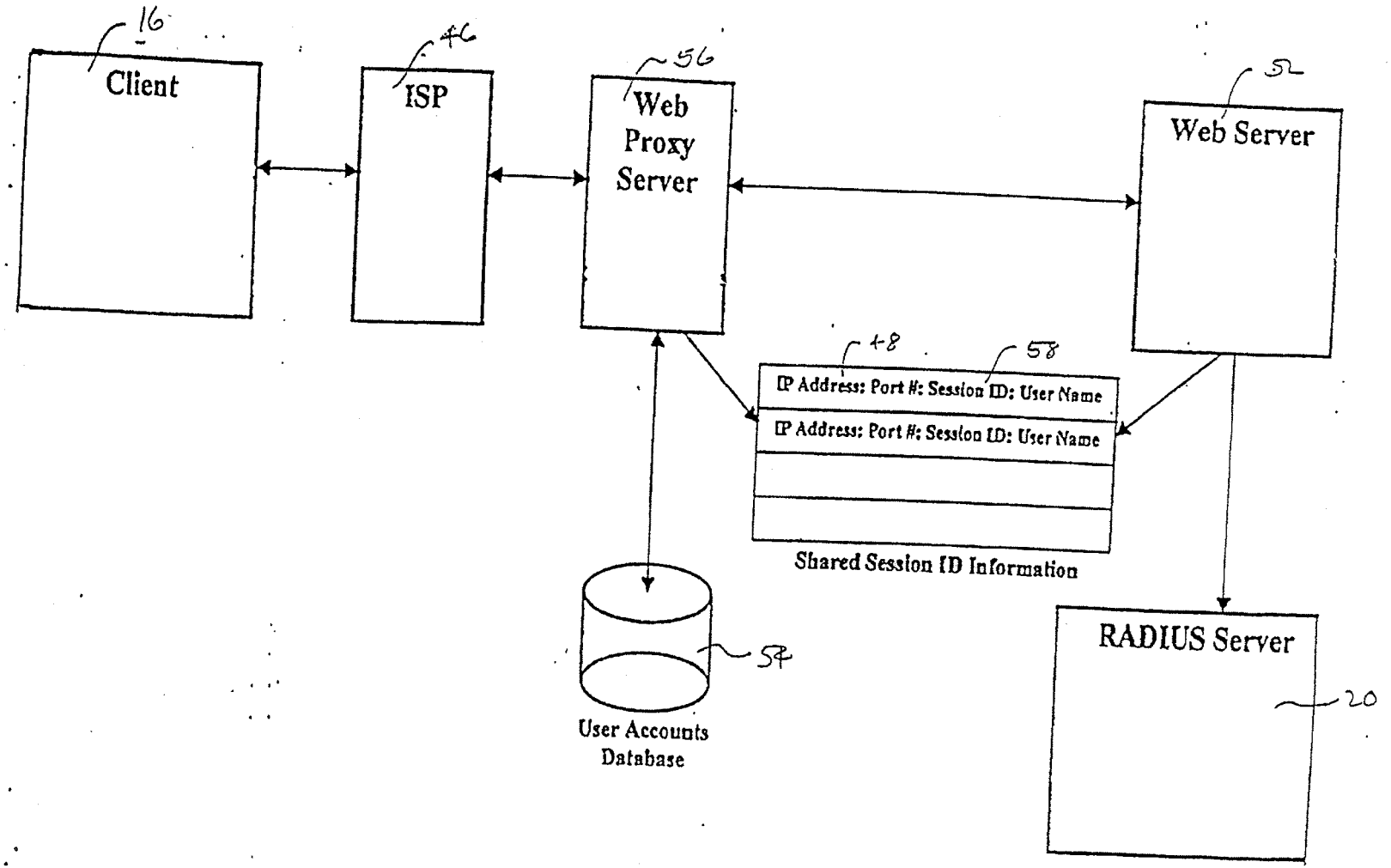
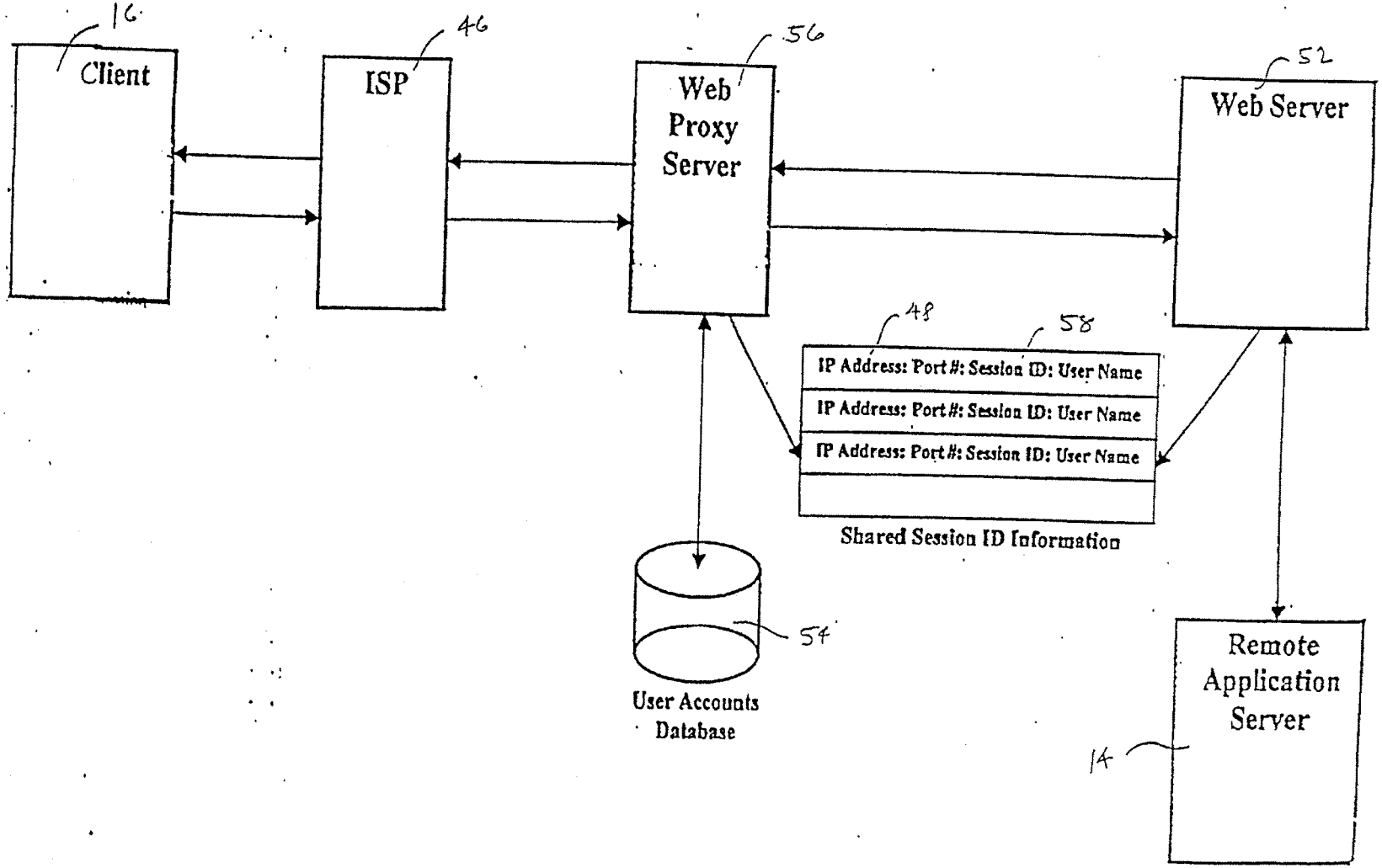


FIG. 7


16
Client
46
ISP
56
Web Proxy Server
52
Web Server
48
58
IP Address: Port #: Session ID: User Name
IP Address: Port #: Session ID: User Name
IP Address: Port #: Session ID: User Name
Shared Session ID Information
54
User Accounts Database
Remote Application Server
14

Doc Code: Oath
Document Description: Oath or declaration filed

PTO/SB/01 (04-09)
Approved for use through 06/30/2010. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)

☐ Declaration Submitted With Initial Filing OR ☒ Declaration Submitted After Initial Filing (surcharge (37 CFR 1.16(f)) required)

Attorney Docket Number	83336.0559
First Named Inventor	Anthony L. Fontaine
COMPLETE IF KNOWN	
Application Number	10/033,716
Filing Date	December 27, 2001
Art Unit	3621
Examiner Name	Chrystina E. Zelaskiewicz

I hereby declare that: (1) Each inventor's residence, mailing address, and citizenship are as stated below next to their name; and (2) I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention titled:

REMOTE ACCESS VERIFICATION ENVIRONMENT SYSTEM AND METHOD

(Title of the Invention)

the application of which

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY) 12/27/2001 as United States Application Number or PCT International Application Number 10/033,716 and was amended on (MM/DD/YYYY) _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified application, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

Authorization To Permit Access To Application by Participating Offices

☒ If checked, the undersigned hereby grants the USPTO authority to provide the European Patent Office (EPO), the Japan Patent Office (JPO), the Korean Intellectual Property Office (KIPO), the World Intellectual Property Office (WIPO), and any other intellectual property offices in which a foreign application claiming priority to the above-identified patent application is filed access to the above-identified patent application. See 37 CFR 1.14(c) and (h). This box should not be checked if the applicant does not wish the EPO, JPO, KIPO, WIPO, or other intellectual property office in which a foreign application claiming priority to the above-identified patent application is filed to have access to the above-identified patent application.

In accordance with 37 CFR 1.14(h)(3), access will be provided to a copy of the above-identified patent application with respect to: 1) the above-identified patent application-as-filed; 2) any foreign application to which the above-identified patent application claims priority under 35 U.S.C. 119(a)-(d) if a copy of the foreign application that satisfies the certified copy requirement of 37 CFR 1.55 has been filed in the above-identified patent application; and 3) any U.S. application-as-filed from which benefit is sought in the above-identified patent application.

In accordance with 37 CFR 1.14(c), access may be provided to information concerning the date of filing the Authorization to Permit Access to Application by Participating Offices.

PTO/SB/01 (04-09)
Approved for use through 06/30/2010. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

DECLARATION — Utility or Design Patent Application

Direct all correspondence to: ☒	The address associated with Customer Number:	66880	OR ☐	Correspondence address below

Name		
Address		
City	State	Zip
Country	Telephone	Email

WARNING:

Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available. Petitioner/applicant is advised that documents which form the record of a patent application (such as the PTO/SB/01) are placed into the Privacy Act system of records DEPARTMENT OF COMMERCE, COMMERCE-PAT-7, System name: *Patent Application Files*. Documents not retained in an application file (such as the PTO-2038) are placed into the Privacy Act system of COMMERCE/PAT-TM-10, System name: *Deposit Accounts and Electronic Funds Transfer Profiles*.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR:	☐ A petition has been filed for this unsigned inventor
Given Name (first and middle [if any]) Anthony L.	Family Name or Surname Fontaine
Inventor's Signature	Date

Residence: City	State	Country	Citizenship
Henderson	NV	US	US

Mailing Address			
1339 Echo Creek Street			
City	State	Zip	Country
Henderson	NV	89012	US

☒ Additional inventors or a legal representative are being named on the _____ supplemental sheet(s) PTO/SB/02A or 02LR attached hereto

PTO/SB/02A (09-04)
Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

DECLARATION

ADDITIONAL INVENTOR(S)
Supplemental Sheet

Name of Additional Inventor, if any

☐ A petition has been filed for this unsigned inventor

Given Name (first and middle [if any])	Family Name or Surname
Hyon (John) Chun	IM

Inventor's Signature _____ **Date** _____

Residence: City	State	Country	Citizenship
Sugar Land	TX	US	

Mailing Address 5019 Hillswick Drive

Mailing Address

City	State	ZIP	Country
Sugar Land	TX	77479-3930	US

Name of Additional Inventor, if any

☐ A petition has been filed for this unsigned inventor

Given Name (first and middle [if any])	Family Name or Surname
Wesley A.	PARK

Inventor's Signature _____ **Date** _____

Residence: City	State	Country	Citizenship
Franklin	TN	US	US

Mailing Address 301 Royal Oaks Blvd., #3406

Mailing Address

City	State	Zip	Country
Franklin	TN	37067-4484	US

Name of Additional Inventor, if any

☐ A petition has been filed for this unsigned inventor

Given Name (first and middle [if any])	Family Name or Surname

Inventor's Signature _____ **Date** _____

Residence: City	State	Country	Citizenship

Mailing Address

Mailing Address

City	State	Zip	Country

ASSIGNMENT

This Assignment is made by HYON C. IM of Addison, IL, and WESLEY A. PARK of Aurora, IL, Assignors, to BALLY GAMING, INC., a Nevada Corporation, Assignee, having a place of business at 6601 South Bermuda Road, Las Vegas, NV 89119-7990;

WHEREAS, Assignors have invented a new and useful REMOTE ACCESS VERIFICATION ENVIRONMENT SYSTEM AND METHOD and Assignors believe themselves to be the original first inventors of the invention(s) disclosed and claimed in U.S. Application Numbers 60/145,068, 09/612,476, 09/854,438, and 10/033,716; and

WHEREAS, Assignee desires to acquire by formal, recordable assignment the entire right, title and interest in and to said invention, said Application, any Letters Patent, and all other related and associated intellectual property that may be granted for said invention(s) in the United States and throughout the world;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignors have sold, assigned, transferred and set over, and by these presents hereby sell, assign, transfer and set over to Assignee all of Assignors' right, title and interest in, to and under said invention(s) said Application, and all other related and associated intellectual property (including, but not limited to copyrights, trademarks, know-how and trade secrets), including (a) the right to apply for patents in the United States of America and in all foreign countries for said invention(s), (b) all application for patents for said invention(s) or based on said Application in all countries, now filed or to be filed, including all non-provisional, divisional, renewal, substitute, continuation, continuation-in-part and convention applications based in whole or in part upon said invention(s) or upon said Application, (c) all patents which may issue on said invention(s) and on any application transferred by this Assignment in the United States and foreign countries, and any and all reissues, reexams, extensions, divisions, renewals, substitutes, continuations or continuations-in-part of patents granted for said invention(s) or upon such Application, for the full term or terms for which the patents may be issued, and (d) every priority right that is or may be predicated upon or arise from said invention(s), said Application and such patents under any applicable international or bilateral treaty, agreement or convention. Assignors hereby authorize Assignee to file a patent Application or any other application in all countries for any or all of said invention(s) in Assignors' name, or in Assignee's name or otherwise as Assignee may deem advisable, under any international or bilateral treaty, agreement or convention, or otherwise.

Further, Assignors agree that, upon request and without further compensation, but at no expense to Assignors, they and their legal representative(s) and assigns will do all lawful acts, including the execution of papers and the giving of testimony, that may be necessary or desirable for obtaining, sustaining, reissuing or enforcing a Letters Patent or other intellectual property rights in the United States and throughout the world for said invention, and for perfecting, recording or maintaining the title of Assignee, its successors and assigns, to said invention, said Application, any Letters Patent granted for said invention, or any other intellectual property rights in the United States and throughout the world.

Assignors represent and warrant that they have not granted and will not grant to others any rights inconsistent with the rights granted herein.

IN WITNESS WHEREOF, Assignors have executed this Assignment on the dates written hereinbelow.

Dated: _____

_____
HYON C. IM

Dated: _____

_____
WESLEY A. PARK

EXHIBIT B

SENDER: *COMPLETE THIS SECTION*

- Complete items 1, 2, and 3. Also complete item 4 if Restricted Delivery is desired.
- Print your name and address on the reverse so that we can return the card to you.
- Attach this card to the back of the mailpiece, or on the front if space permits.

1. Article Addressed to:

Mr. Hyon C. Im
5019 Hillswick Drive
Sugar Land, TX 77479-3930

83336.0559

COMPLETE THIS SECTION ON DELIVERY

A. Signature
X [signature] ☐ Agent ☐ Addressee

B. Received by *(Printed Name)* Richard Im

C. Date of Delivery 12-9-03

D. Is delivery address different from item 1? ☐ Yes
If YES, enter delivery address below: ☐ No

3. Service Type
☑ Certified Mail ☐ Express Mail
☐ Registered ☑ Return Receipt for Merchandise
☐ Insured Mail ☐ C.O.D.

4. Restricted Delivery? *(Extra Fee)* ☐ Yes

2. Article Number
(Transfer from service label) 7008 3230 0000 8614 0271

PS Form 3811, February 2004 Domestic Return Receipt 102595-02-M-1540

EXHIBIT C

SENDER: *COMPLETE THIS SECTION*

- Complete items 1, 2, and 3. Also complete item 4 if Restricted Delivery is desired.
- Print your name and address on the reverse so that we can return the card to you.
- Attach this card to the back of the mailpiece, or on the front if space permits.

1. Article Addressed to:

Mr. Wesley A. Park
301 Royal Oaks Blvd.
#3406
Franklin, TN 37067-4484

83336.0559

COMPLETE THIS SECTION ON DELIVERY

A. Signature

X [signature] ☐ Agent ☒ Addressee

B. Received by *(Printed Name)*: Wesley Park

C. Date of Delivery: 12/15/2009

D. Is delivery address different from item 1? ☒ Yes
If YES, enter delivery address below: ☐ No

738 Huffine Manor Circle
Franklin, TN 37067

3. Service Type
☑ Certified Mail ☐ Express Mail
☐ Registered ☑ Return Receipt for Merchandise
☐ Insured Mail ☐ C.O.D.

4. Restricted Delivery? *(Extra Fee)* ☐ Yes

2. Article Number
(Transfer from service label) 7008 3230 0000 8614 0288

PS Form 3811, February 2004 Domestic Return Receipt 102595-02-M-154